



# Modal Testing

(Lecture 1)

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# Overview

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- Introduction to Modal Testing
- Applications of Modal Testing
- Philosophy of Modal Testing
- Summary of Theory
- Summary of Measurement Methods
- Summary of Modal Analysis Processes
- Review of Test Procedures and Levels

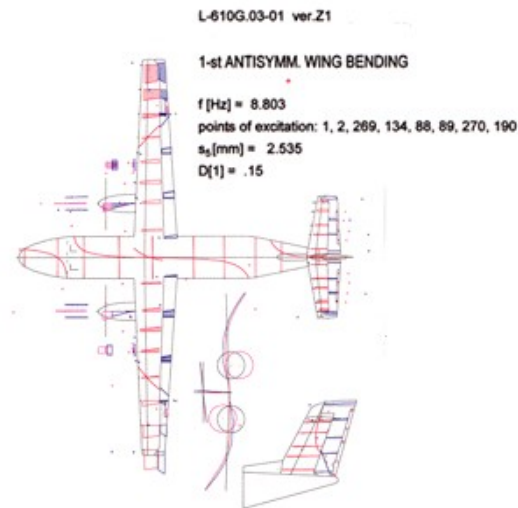


# Introduction to Modal Testing

- Experimental Structural Dynamics
  - To understand and to control the many vibration phenomenon in practice
    - Structural integrity (Turbine blades- Suspension Bridges)
    - Performance ( malfunction, disturbance, discomfort)



Overview of Modal Testing



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## Introduction to Modal Testing (continued)

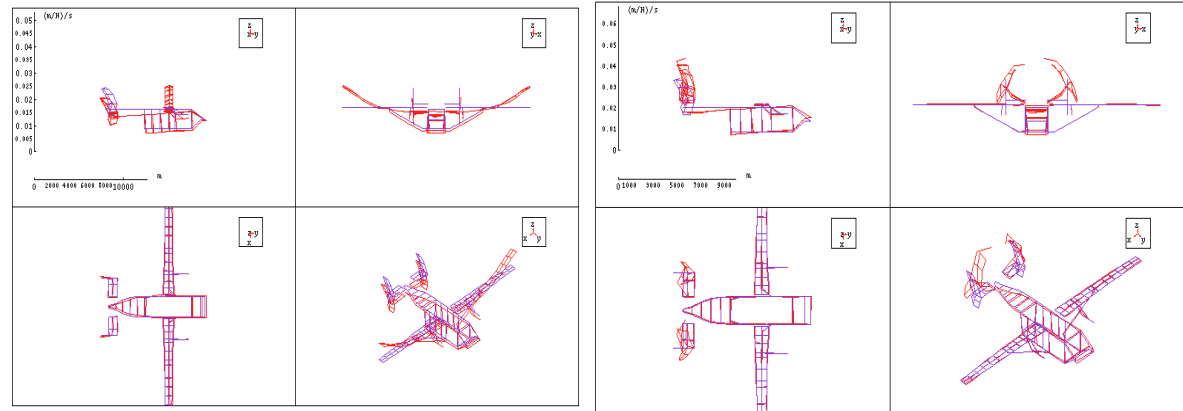
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- Necessities for experimental observations
  - Nature and extend of vibration in operation
  - Verifying theoretical models
  - Material properties under dynamic loading (damping capacity, friction,...)



# Introduction to Modal Testing (continued)

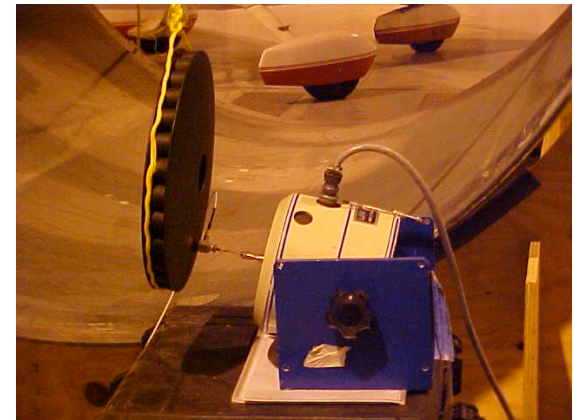
- Test types corresponding to objectives:
  - Operational Force/Response measurements
    - Response measurement of PZL Mielec Skytruck Mode Shapes (3.17 Hz, 1.62 %), (8.39 Hz, 1.93 %)





# Introduction to Modal Testing (continued)

- Modal Testing in a controlled environment/  
Resonance Testing/  
Mechanical Impedance Method
  - Testing a component or a structure with the objective of obtaining mathematical model of dynamical/vibration behavior
  - Structural Analysis of ULTRA Mirror





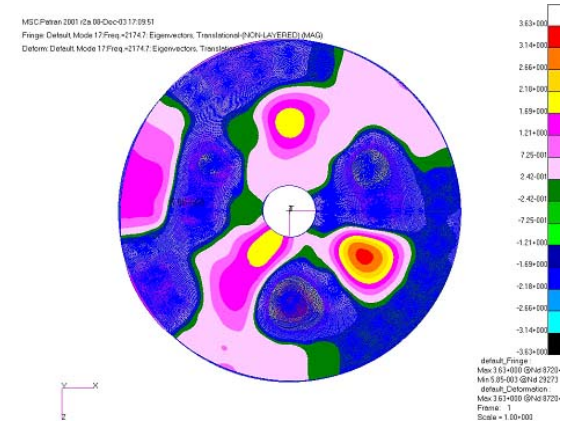
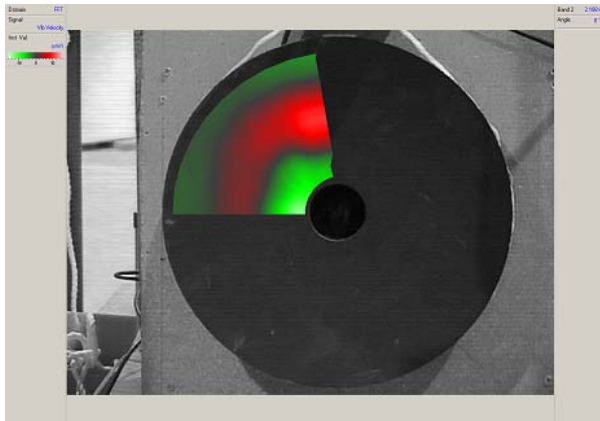
## Introduction to Modal Testing (continued)

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- Milestones in the development:
  - Kennedy and Pancu (1947)
    - Natural frequencies and damping of aircrafts
  - Bishop and Gladwell (1962)
    - Theory of resonance testing
  - ISMA (bi-annual since 1975)
  - IMAC (annual since 1982)



# Applications of Modal Testing



- Model Validation/Correlation:
  - Producing major test modes validates the model
    - Natural frequencies
    - Mode shapes
    - Damping information are not available in FE models





## Applications of Modal Testing (continued)

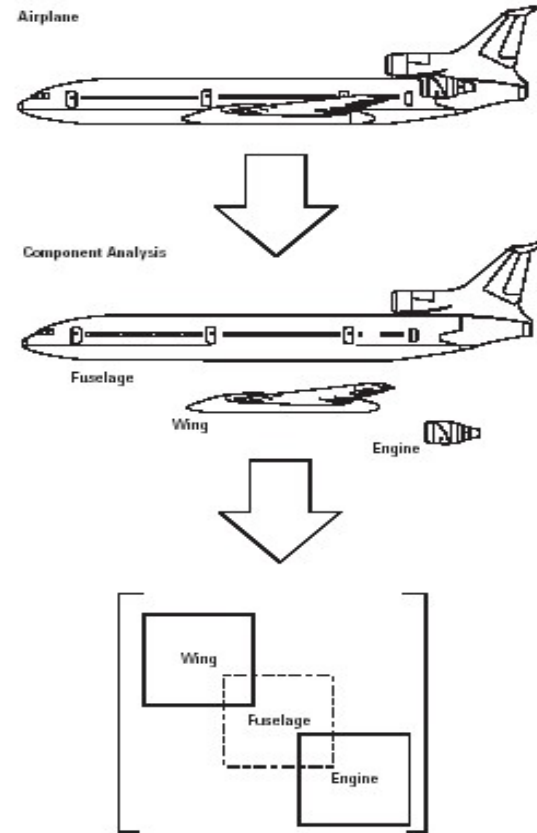
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- Model Updating
  - Correlation of experimental/analytical model
  - Adjust/correct the analytical model
  - Optimization procedures are used for updating.



# Applications of Modal Testing (continued)

- Component Model Identification
  - Substructure process
  - The component model is incorporated into the structural assembly





## Applications of Modal Testing (continued)

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- Force Determination
  - Knowledge of dynamic force is required
  - Direct force measurement is not possible
  - Measurement of response + Analytical Model results the external force

$$\left( [K] - \omega^2 [M] \right) \{x\} = \{f\}$$



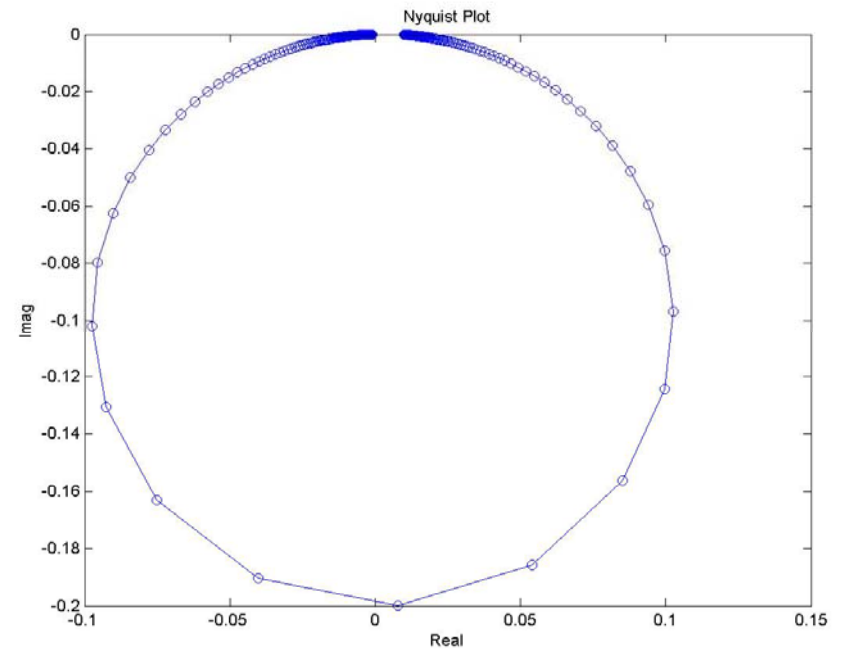
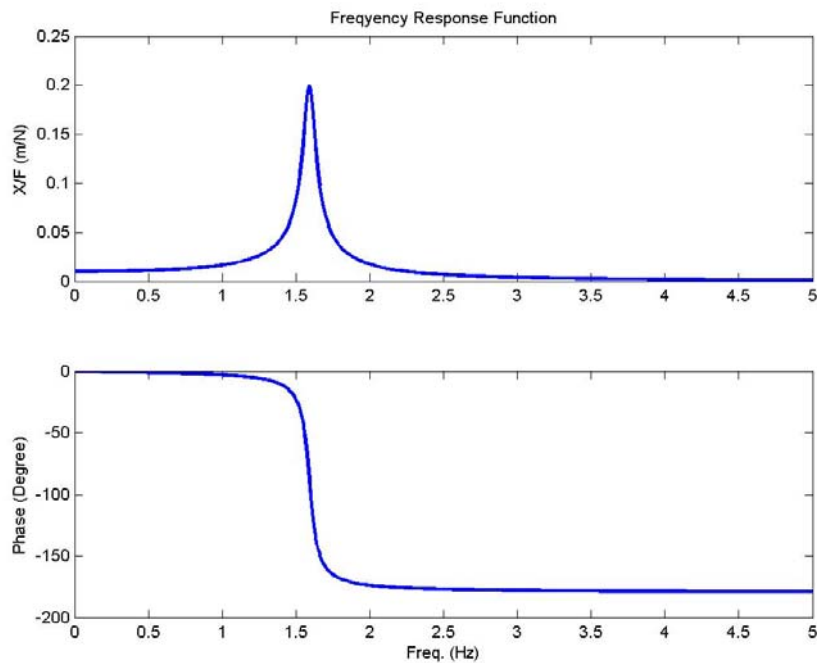
# Philosophy of Modal Testing

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- Integration of three components:
  - Theory of vibration
  - Accurate vibration measurement
  - Realistic and detailed data analysis
- Examples:
  - Quality and suitability of data for process
  - Excitation type
  - Understanding of forms and trends of plots
  - Choice of curve fitting
  - Averaging

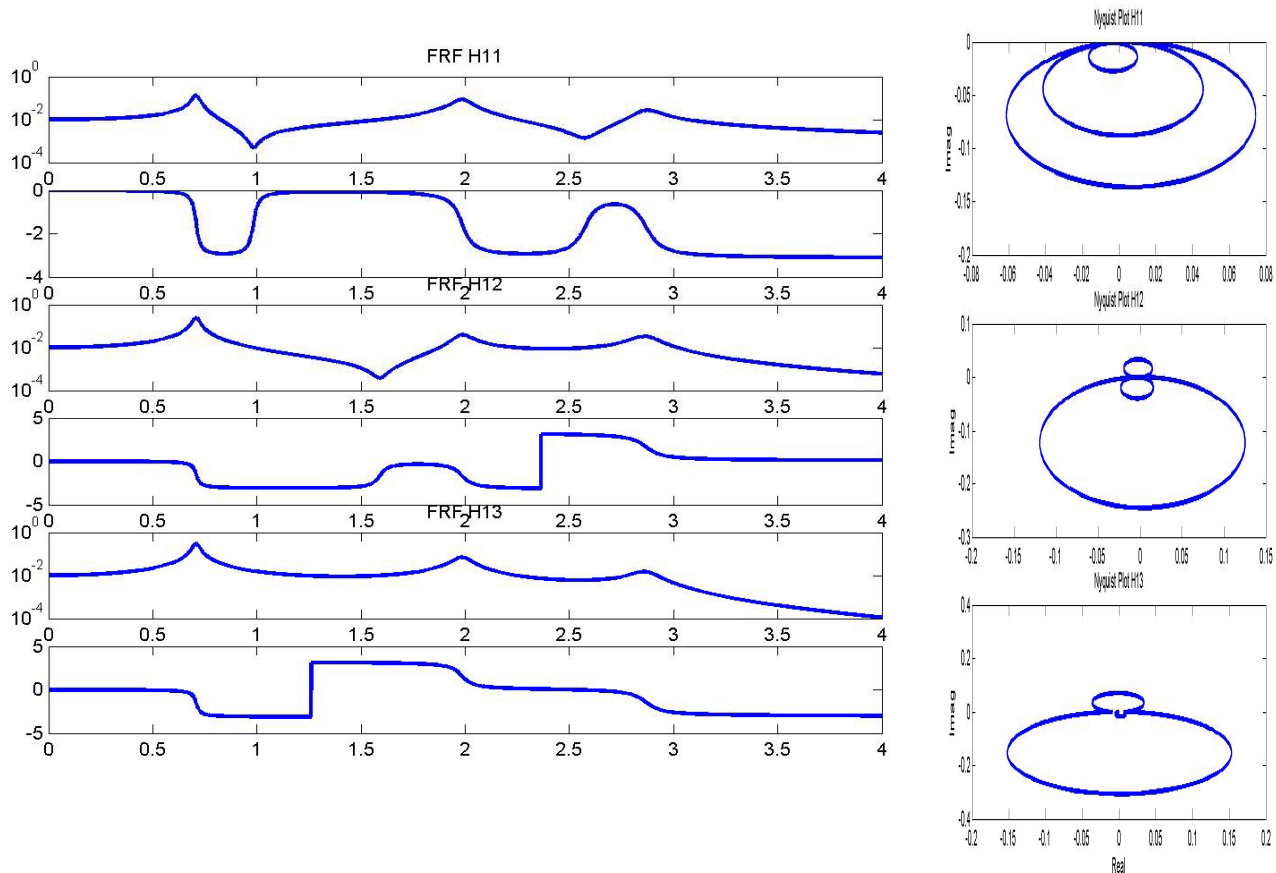


# Summary of Theory (SDOF)





# Summary of Theory (MDOF)





# Summary of Theory

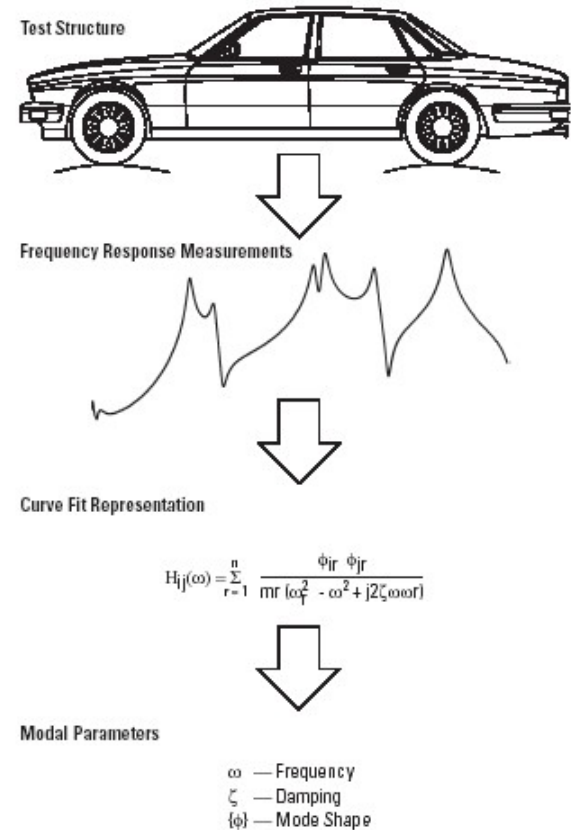
- Definition of FRF:

$$H(\omega) = ([K] - \omega^2[M] + i[D])^{-1}$$

$$h_{jk}(\omega) = \frac{x_j(\omega)}{f_k(\omega)} = \sum_{r=1}^N \frac{\phi_{jr}\phi_{kr}}{\omega_r^2 - \omega^2}$$

- Curve-fitting the measured FRF:

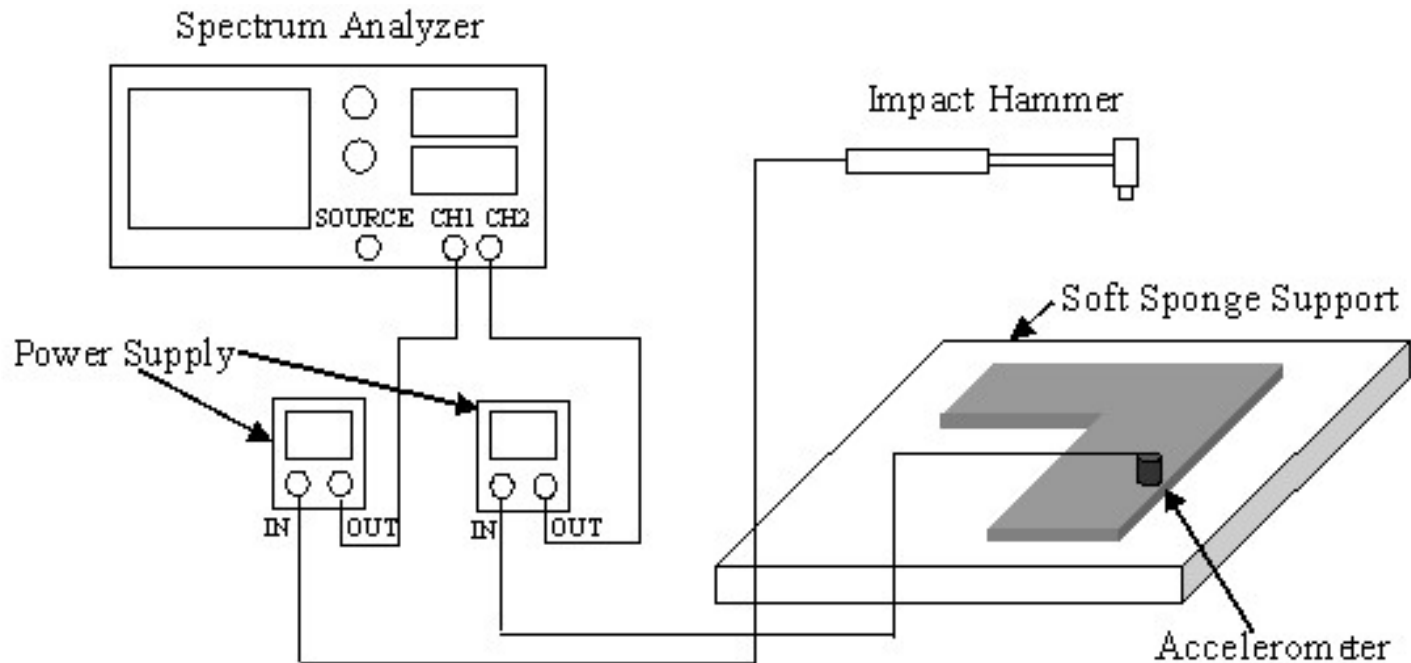
- Modal Model is obtained
- Spatial Model is obtained





# Summary of Measurement Methods

- Basic measurement system:
  - Single point excitation

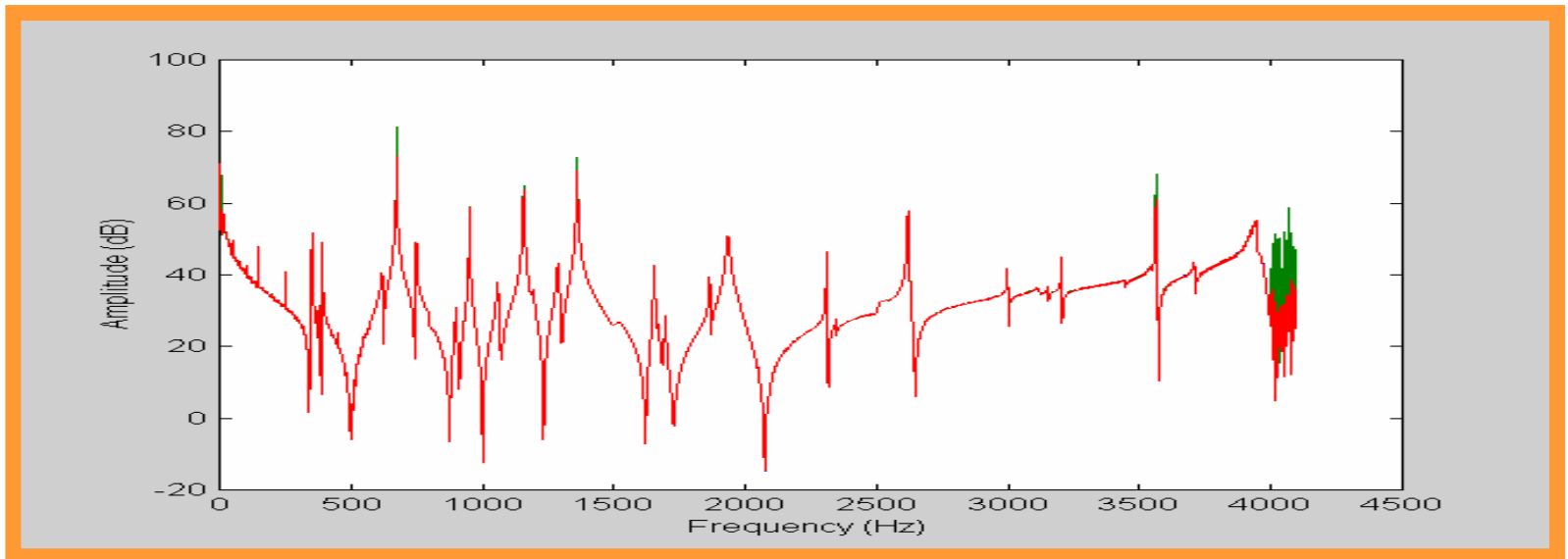






# Summary of Modal Analysis Processes

- Analysis of measured FRF data
  - Appropriate type of model (SDOF, MDOF, ...)
  - Appropriate parameters for chosen model





# Review of Test Procedures and Levels

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- The procedure consists of:
  - FRF measurement
  - Curve-Fitting
  - Construct the required model
- Different level of details and accuracy in above procedure is required depending on the application.



# Review of Test Procedures and Levels

- Levels according to Dynamic Testing Agency:

Level	Natural Freq	Damping ratio	Mode Shapes	Usable for validation	Out of range residues	Updating
0						
1			Only in few points			
2						
3						
4						



# Text Books

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- Ewins, D.J. , 2000, “Modal Testing; theory, practice and application”, 2<sup>nd</sup> edition, Research studies press Ltd.
- McConnell, K.G., 1995, “Vibration testing; theory and practice”, John Wiley & Sons.
- Maia, *et. al.* , 1997, “Theoretical and Experimental Modal Analysis”, Research studies press Ltd.



# Evaluation Scheme

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- Home Works (20%)
- Mid-term Exam (20%)
- Course Project (30%)
- Final Exam (30%)



# Modal Testing

(Lecture 10)

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# Theoretical Basis

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- Analysis of weakly nonlinear structures
- Approximate analysis of nonlinear structures
- Cubic stiffness nonlinearity
- Coulomb friction nonlinearity
- Other nonlinearities and other descriptions



# Analysis of weakly nonlinear structures

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- The whole bases of **modal testing** assumes linearity:
  - Response linearly related to the excitation
  - Response to simultaneous application of several forces can be obtained by superposition of responses to individual forces
- An introduction to characteristics of weakly nonlinear systems is given to detect if any nonlinearity is involved during modal test.





# Cubic stiffness nonlinearity

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$$m\ddot{x} + c\dot{x} + kx + k_3x^3 = F \sin(\omega t - \phi)$$

$$\Rightarrow x(t) = X \sin(\omega t)$$

$$\begin{aligned} \Rightarrow -m\omega^2 X \sin(\omega t) + c\omega X \cos(\omega t) + kX \sin(\omega t) + k_3X^3 \sin^3(\omega t) \\ = F \sin(\omega t - \phi) \end{aligned}$$

$$\begin{aligned} \Rightarrow -m\omega^2 X \sin(\omega t) + c\omega X \cos(\omega t) + kX \sin(\omega t) + \\ k_3X^3 \left( \frac{3}{4} \sin(\omega t) - \frac{1}{4} \sin(3\omega t) \right) = F \sin(\omega t - \phi) \end{aligned}$$



# Cubic stiffness nonlinearity

$$-m\omega^2 X \sin(\omega t) + c\omega X \cos(\omega t) + kX \sin(\omega t) +$$

$$k_3 X^3 \left( \frac{3}{4} \sin(\omega t) - \frac{1}{4} \sin(3\omega t) \right) =$$

$$F \sin(\omega t) \cos(\phi) - F \cos(\omega t) \sin(\phi)$$

$$\Rightarrow \begin{cases} -m\omega^2 X + kX + \frac{3}{4}k_3 X^3 = F \cos(\phi) \\ c\omega X = -F \sin(\phi) \end{cases}$$



# Cubic stiffness nonlinearity

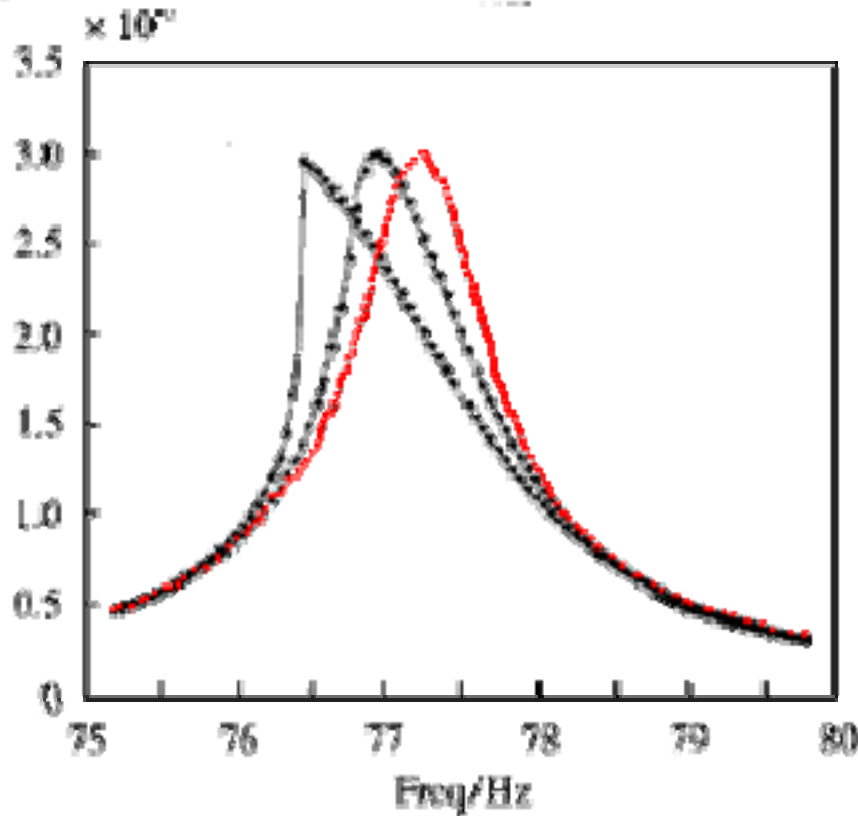
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$$\left| \frac{X}{F} \right| = \frac{1}{\sqrt{\left( -m\omega^2 + k + \frac{3}{4}k_3X^2 \right)^2 + (c\omega)^2}}$$

$$k_{eq} = k + \frac{3}{4}k_3X^2$$

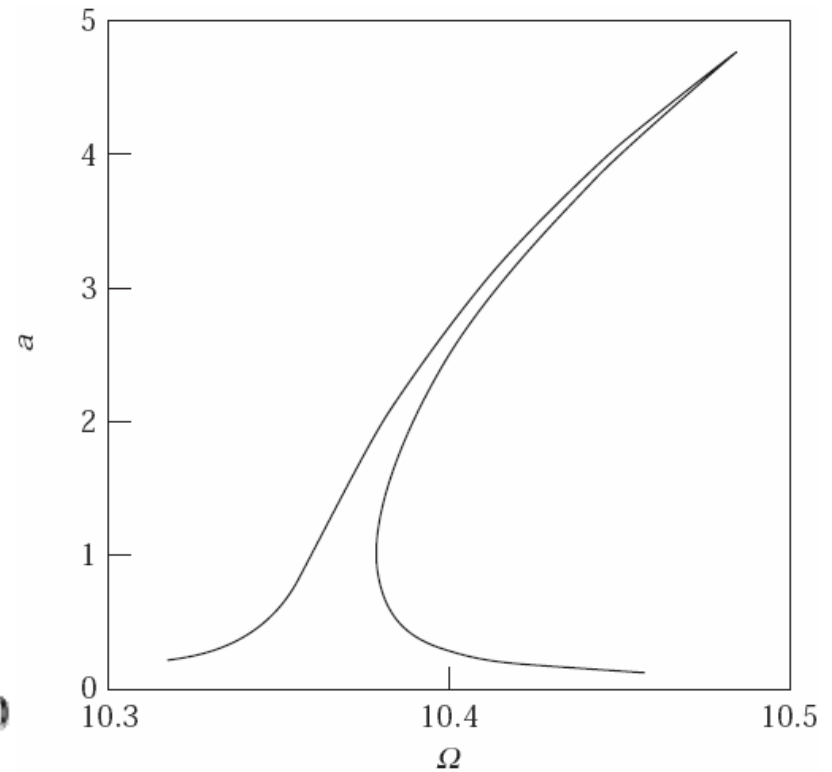


# Cubic stiffness nonlinearity



Softening effect

Theoretical Basis

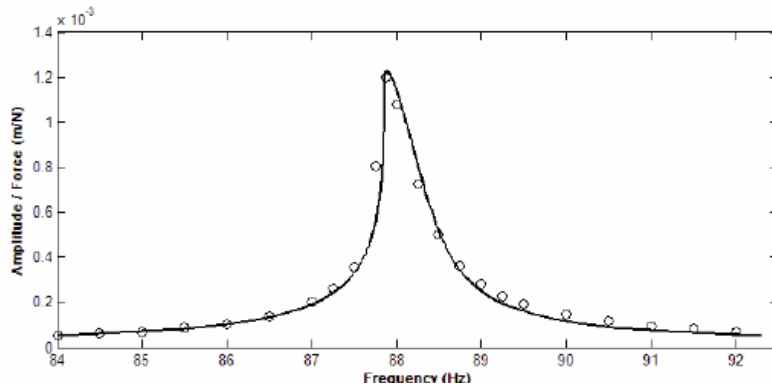
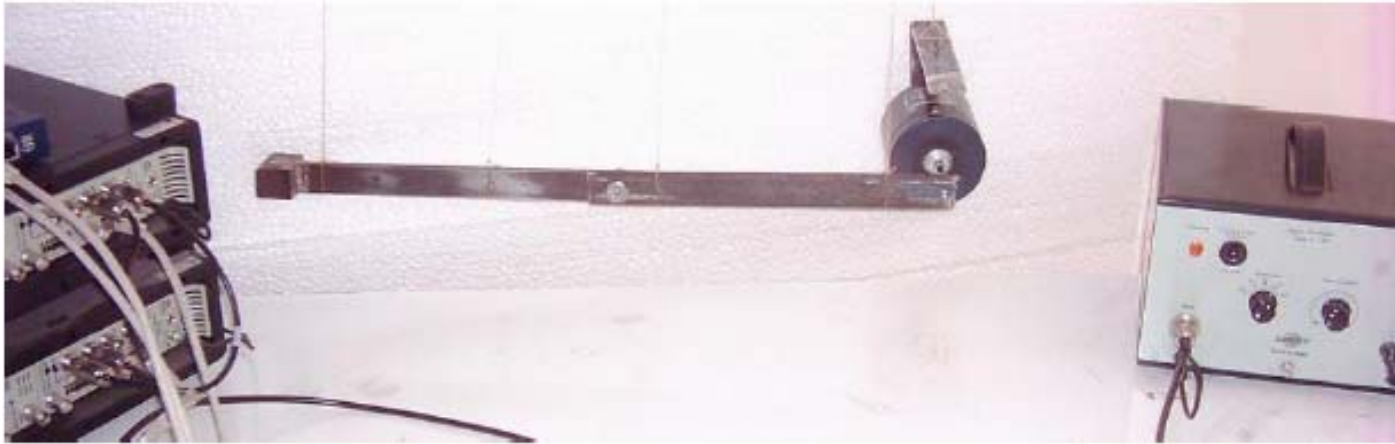


Hardening effect

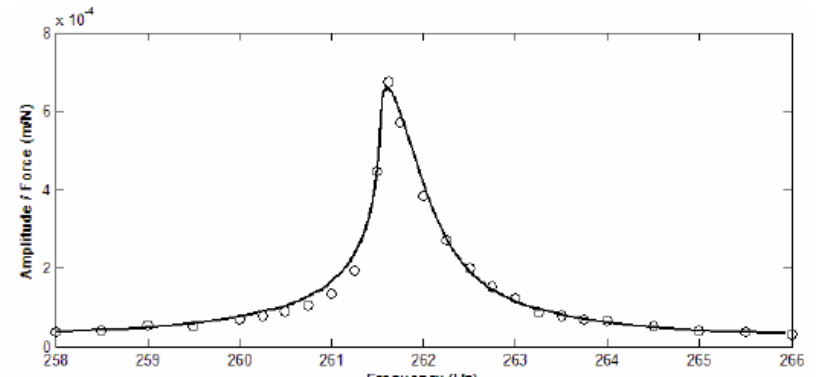
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# Softening-stiffness effect



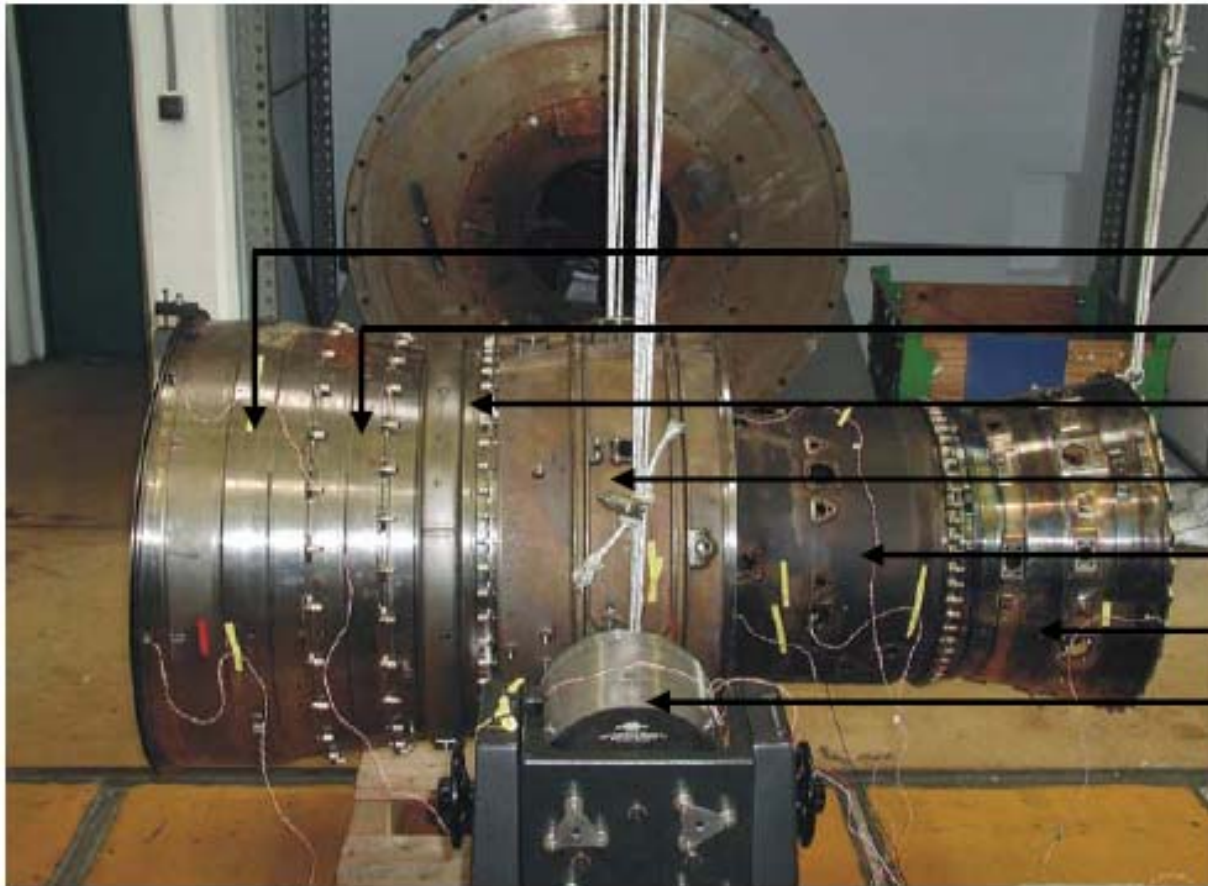
Theoretical Basis



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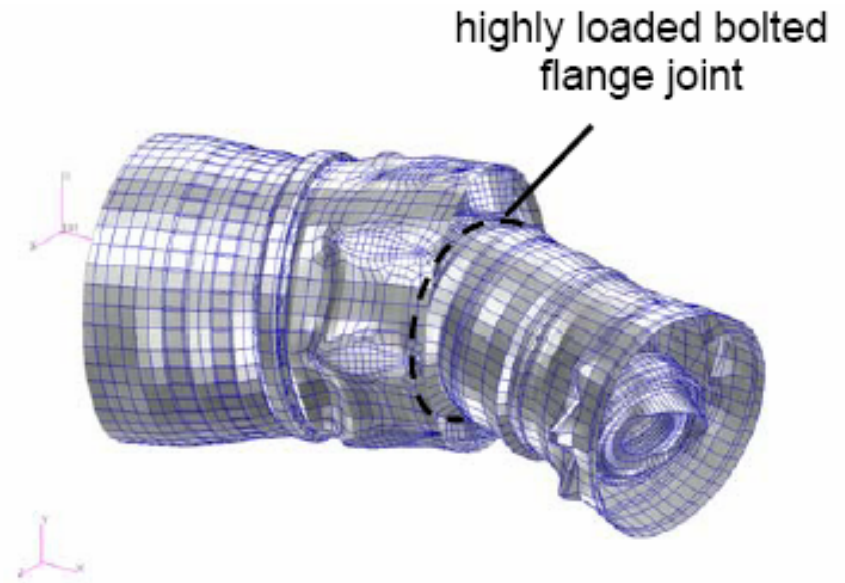
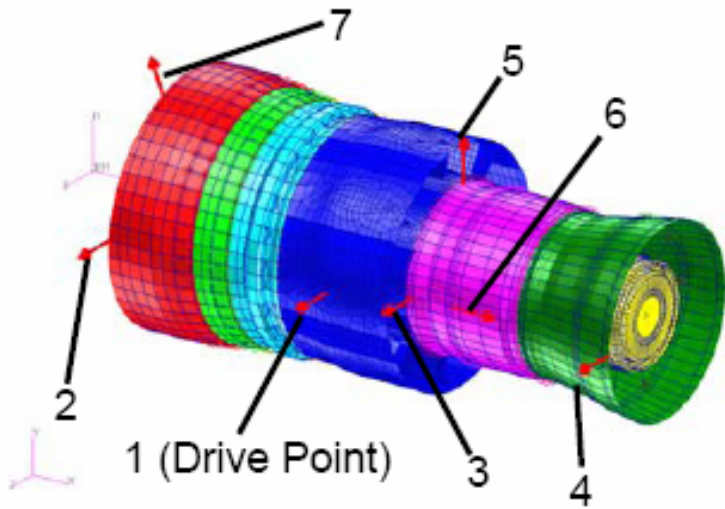
# Softening-stiffness effect



- FC1
- FC2
- FBH
- IMC
- CCOC
- TC
- Shaker

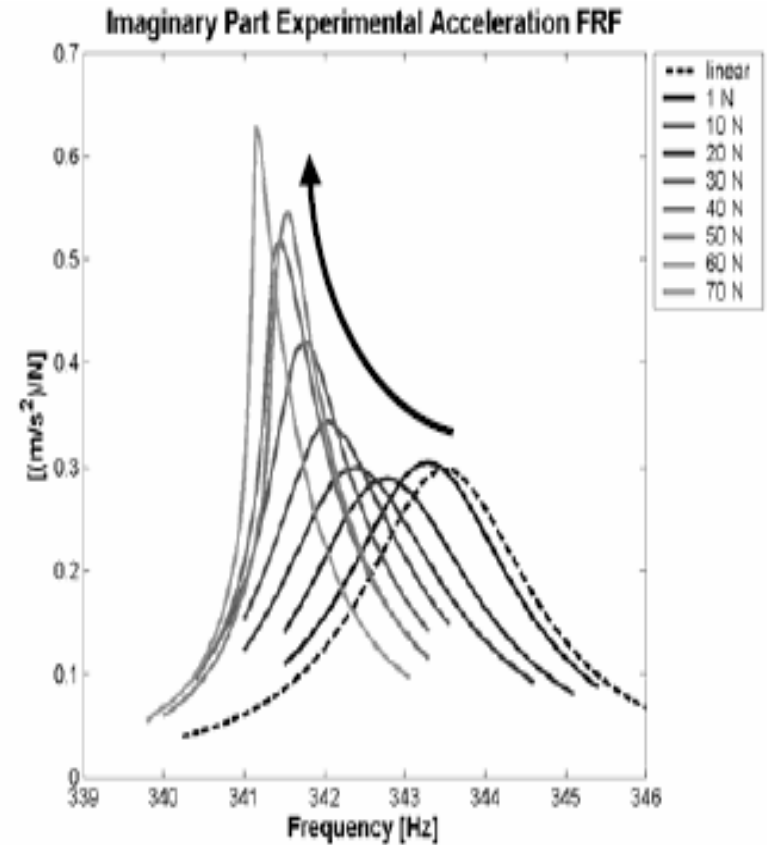
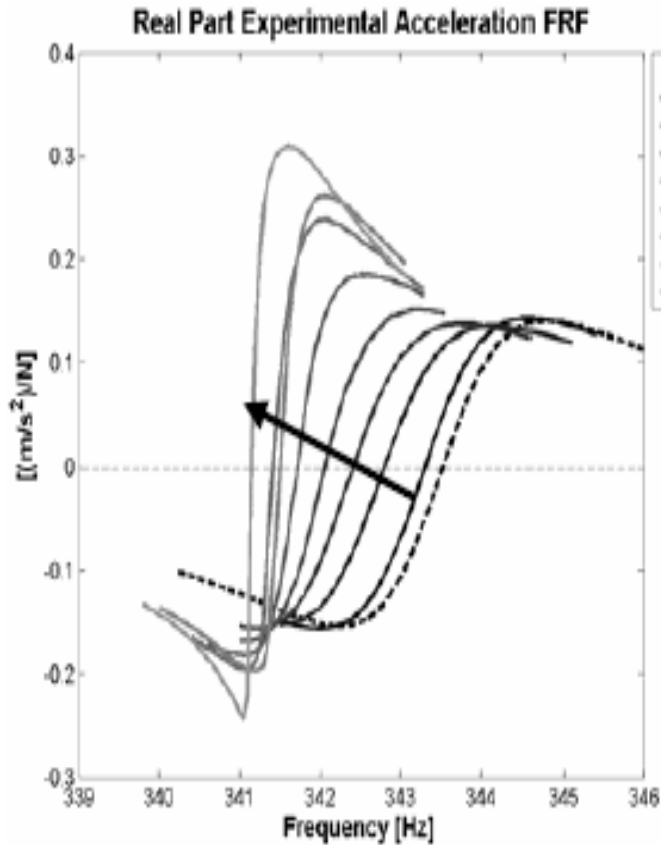


# Softening-stiffness effect





# Softening-stiffness effect







# Coulomb friction nonlinearity

$$f_d(t) = c\dot{x}(t) + c_F \frac{\dot{x}(t)}{|\dot{x}(t)|}$$

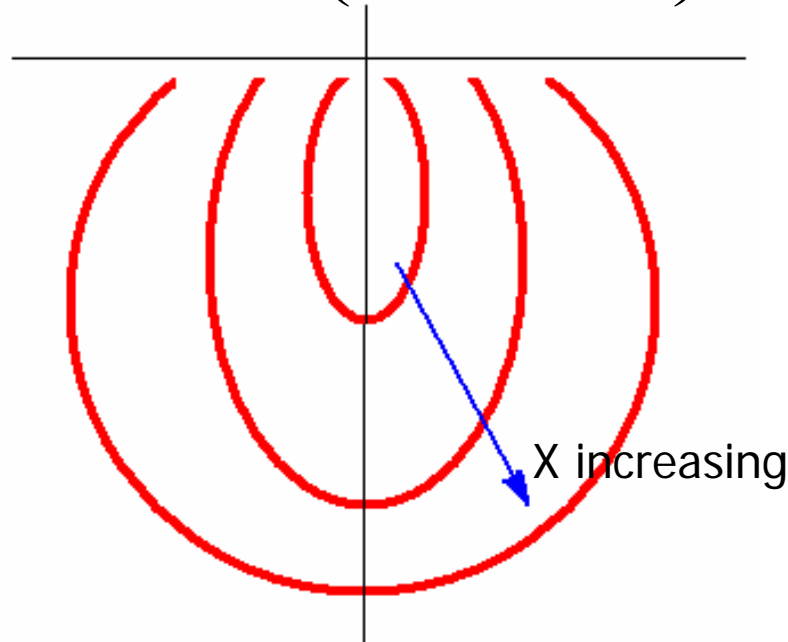
$$\Delta E = 4c_F X \Rightarrow c_{eq} = \frac{\Delta E}{\int_0^{2\pi/\omega} \dot{x}(t)^2 dt} = \frac{4c_F}{\pi\omega X}$$

$$\frac{X}{F} = \frac{1}{k - m\omega^2 + i\left(c\omega + \frac{4c_F}{\pi\omega X}\right)}$$



# Coulomb friction nonlinearity

$$\frac{X}{F} = \frac{1}{k - m\omega^2 + i\left(c\omega + \frac{4c_F}{\pi\omega X}\right)}$$





# Other nonlinearities and other descriptions

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- Backlash
- Bilinear Stiffness
- Microslip friction damping
- Quadratic (and other power law damping)
- .....



# Modal Testing

(Lecture 2)

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# MODAL ANALYSIS THEORY

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- Understanding of how the structural parameters of mass, damping, and stiffness relate to
  - the impulse response function (time domain),
  - the frequency response function (Fourier, or frequency domain), and
  - the transfer function (Laplace domain)
- for single and multiple degree of freedom systems.



# Theoretical Basis

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- SDOF system
  - Time Domain: Impulse Response Function
  - Presentation of FRF
  - Properties of FRF
- Undamped MDOF system
- MDOF system with proportional damping



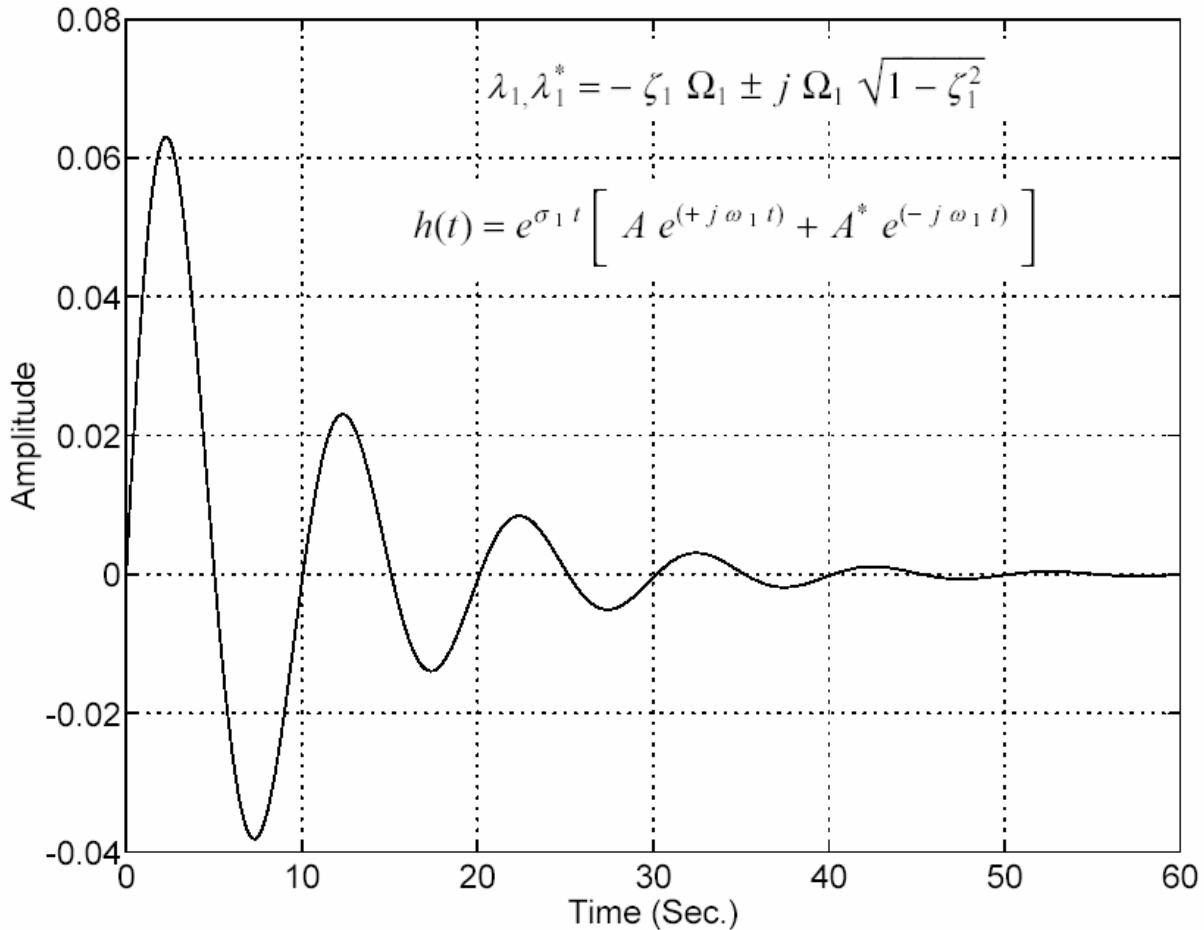
# SDOF System

- Three classes of system:
  - Undamped
  - Viscously-damped
  - Structurally Damped
- Response Models:

$$H(\omega) = \frac{X(\omega)}{F(\omega)} = \left\{ \begin{array}{l} \frac{1}{k - m\omega^2} \\ \frac{1}{k - m\omega^2 + ic\omega} \\ \frac{1}{k - m\omega^2 + id} \end{array} \right.$$



# Time Domain: Impulse Response Function







# Frequency Domain: Frequency Response Function

$$\left[ -M \omega^2 + j C \omega + K \right] X(\omega) = F(\omega) \quad H(\omega) = \frac{X(\omega)}{F(\omega)}$$

$$H(\omega) = \frac{1}{-M \omega^2 + j C \omega + K} = \frac{1/M}{-\omega^2 + j \left( \frac{C}{M} \right) \omega + \left( \frac{K}{M} \right)}$$

$$H(\omega) = \frac{1/M}{(j \omega - \lambda_1) (j \omega - \lambda_1^*)} = \frac{A}{(j \omega - \lambda_1)} + \frac{A^*}{(j \omega - \lambda_1^*)}$$



# Alternative Forms of FRF

- Receptance
  - Inverse is “Dynamic Stiffness”
- Mobility
  - Inverse is “Dynamic Impedance”
- Inertance
  - Inverse is “Apparent mass”

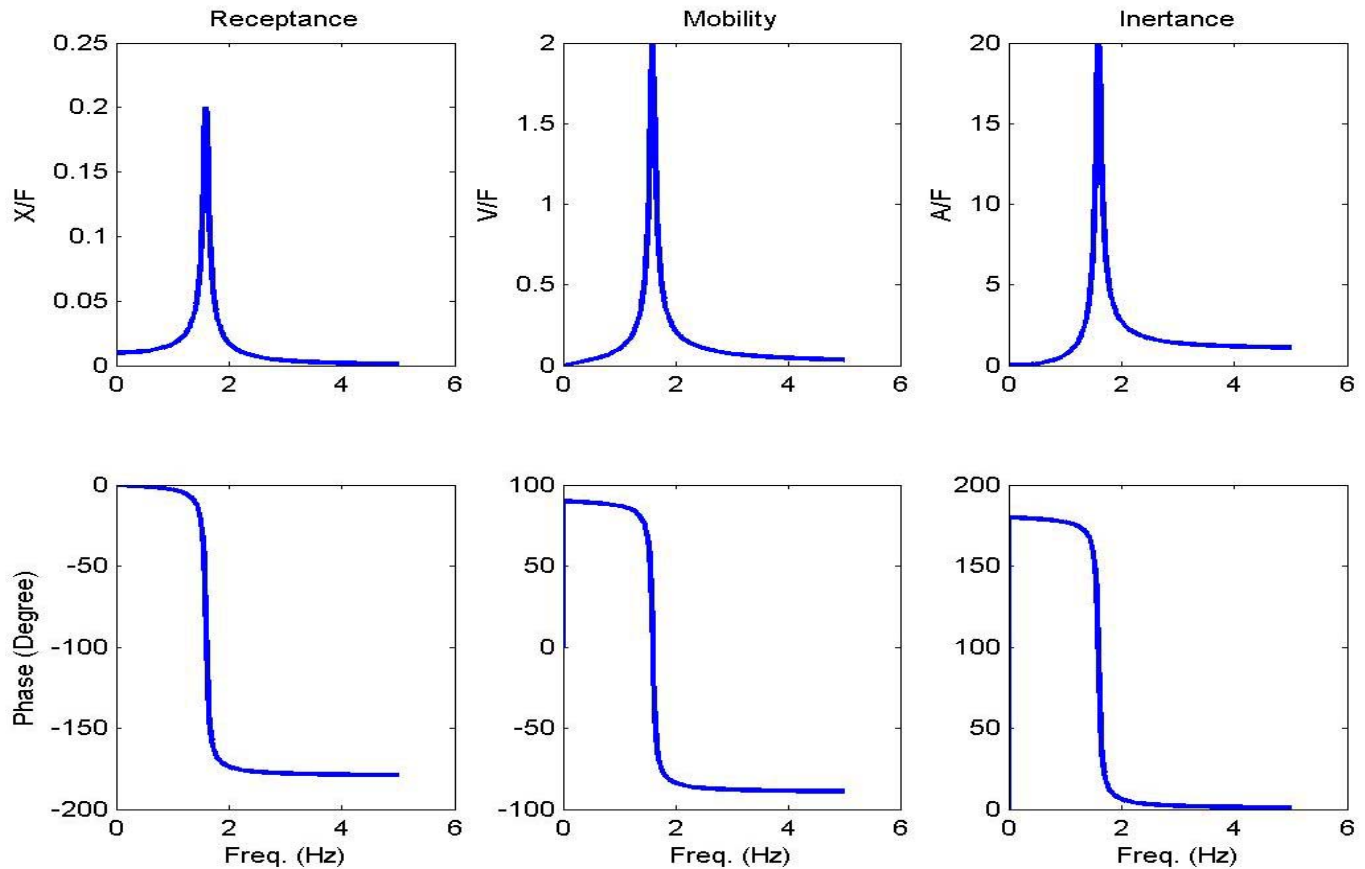
$$\frac{X(\omega)}{F(\omega)}$$

$$\frac{V(\omega)}{F(\omega)} = i\omega \frac{X(\omega)}{F(\omega)}$$

$$\frac{A(\omega)}{F(\omega)} = -\omega^2 \frac{X(\omega)}{F(\omega)}$$

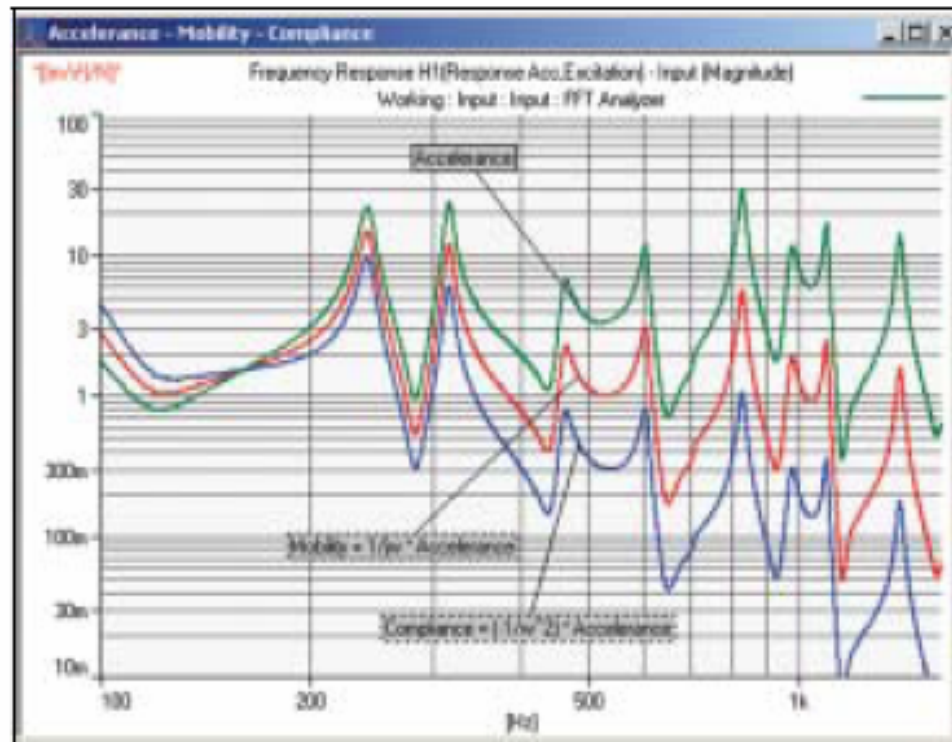


# Graphical Display of FRF





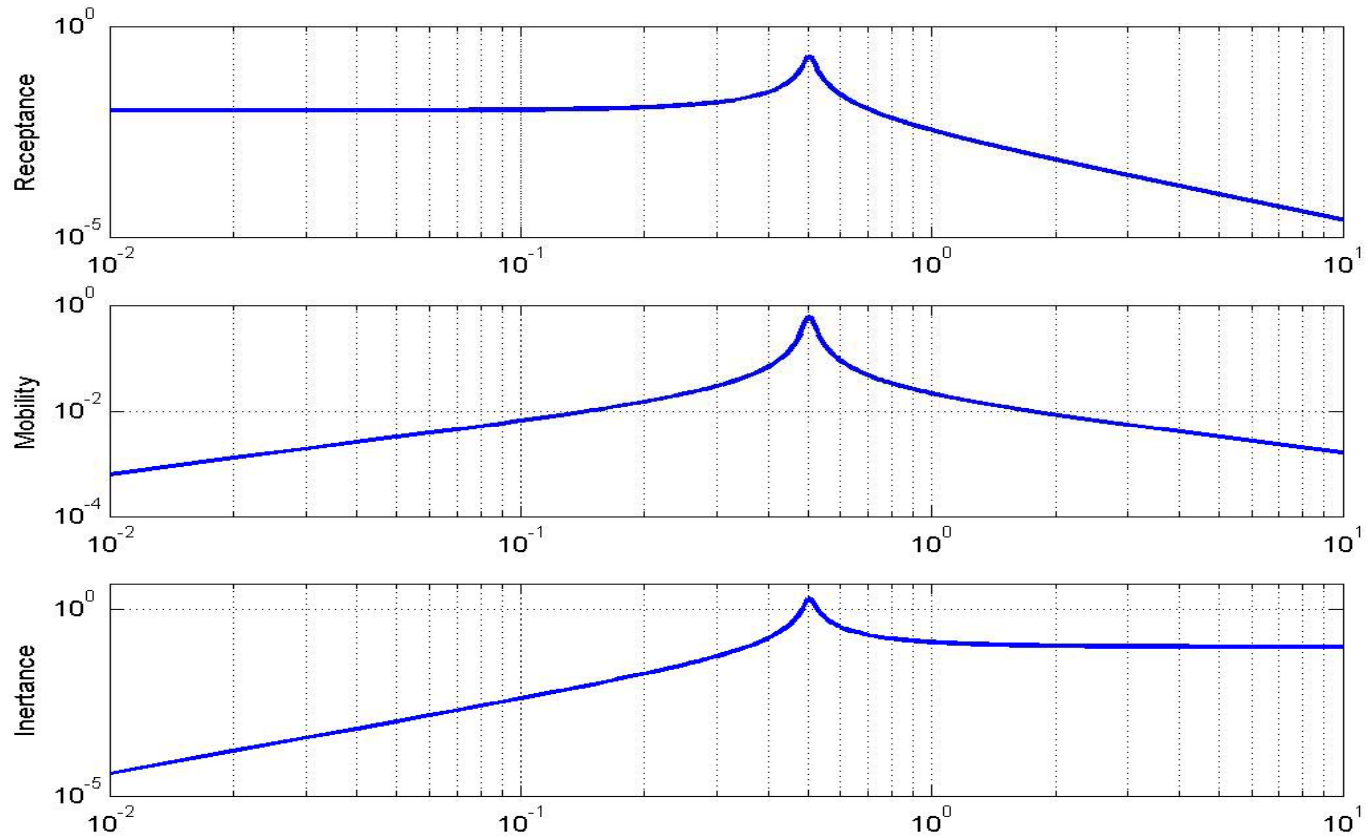
# Graphical Display of FRF



*The magnitude of the three mobility functions  
(accelerance, mobility and compliance)*



# Stiffness and Mass Lines

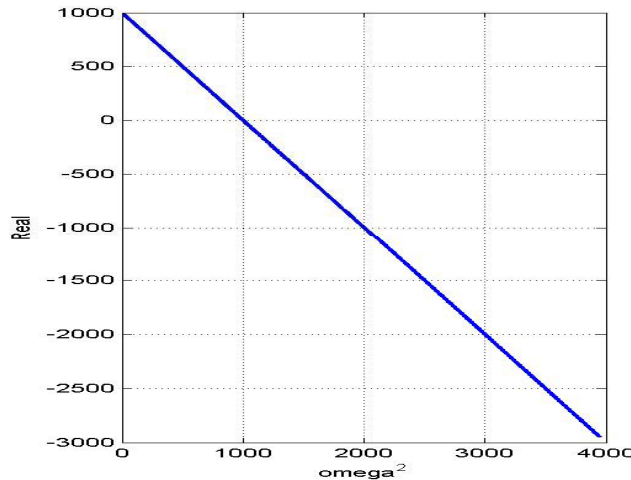




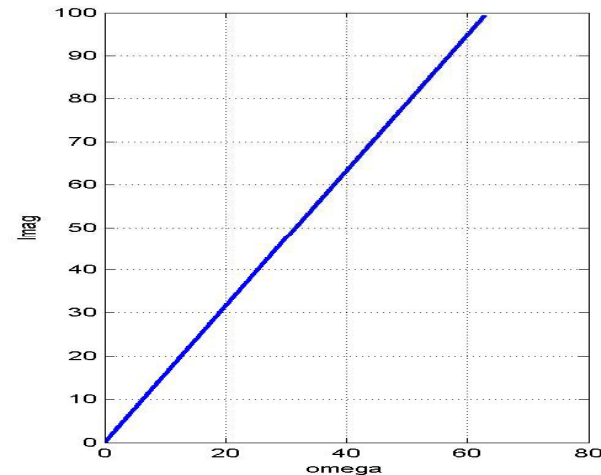
# Reciprocal Plots

- The “inverse” or “reciprocal” plots
  - Real part
  - Imaginary part

$$\Rightarrow \begin{cases} \operatorname{Re}\left(\frac{F(\omega)}{X(\omega)}\right) = k - m\omega^2 \\ \operatorname{Im}\left(\frac{F(\omega)}{X(\omega)}\right) = c\omega \end{cases}$$



Theoretical Basis

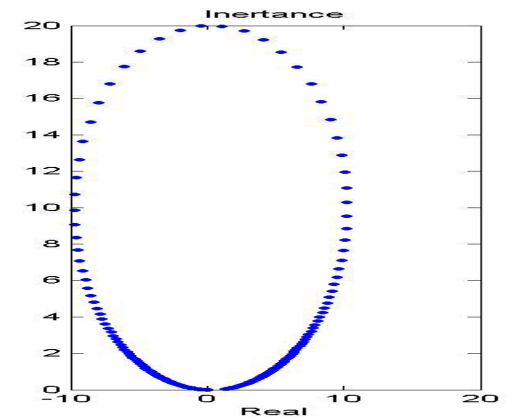
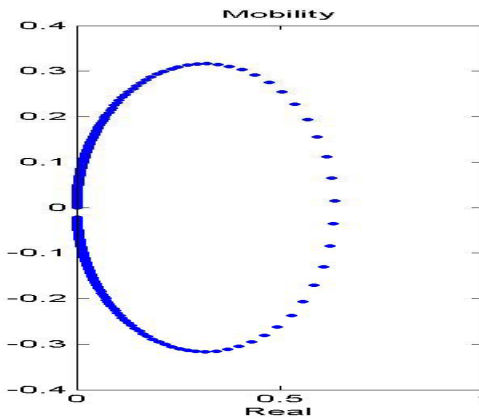
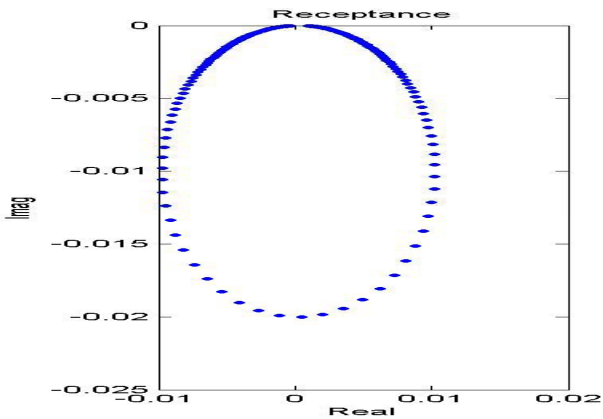


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# Nyquist Plot

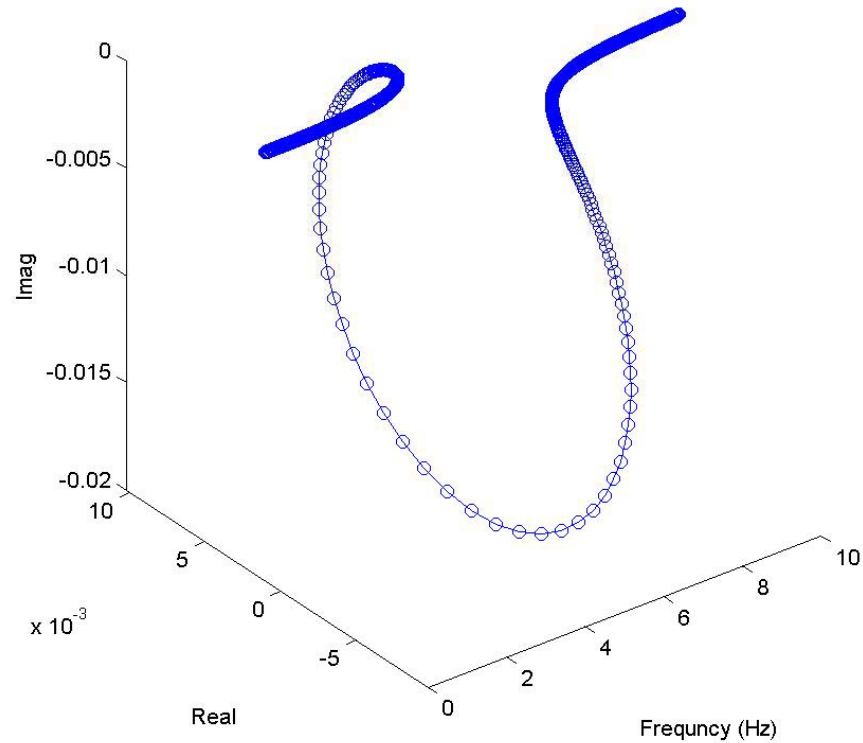
- For viscous damping the Mobility plot is a circle.



- For structural damping the Receptance and Inertance plots are circles.



# 3D FRF Plot (SDOF)







# Properties of SDOF FRF Plots

- Nyquist Mobility for viscose damping

$$Y(\omega) = \frac{i\omega}{k - m\omega^2 + ic\omega}$$

$$\operatorname{Re}(Y) = \frac{c\omega^2}{(k - m\omega^2)^2 + (c\omega)^2} \quad \operatorname{Im}(Y) = \frac{\omega(k - m\omega^2)}{(k - m\omega^2)^2 + (c\omega)^2}$$

$$U = \left( \operatorname{Re}(Y) - \frac{1}{2c} \right), \quad V = \operatorname{Im}(Y)$$

$$U^2 + V^2 = \frac{\left( (k - m\omega^2)^2 + (c\omega)^2 \right)^2}{4c^2 \left( (k - m\omega^2)^2 + (c\omega)^2 \right)^2} = \left( \frac{1}{2c} \right)^2$$



# Properties of SDOF FRF Plots

- Nyquist Receptance for structural damping

$$H(\omega) = \frac{1}{k + id - m\omega^2} = \frac{(k - m\omega^2) - id}{(k - m\omega^2)^2 + d^2}$$

$$U = \frac{(k - m\omega^2)}{(k - m\omega^2)^2 + d^2}, V = \frac{d}{(k - m\omega^2)^2 + d^2}$$

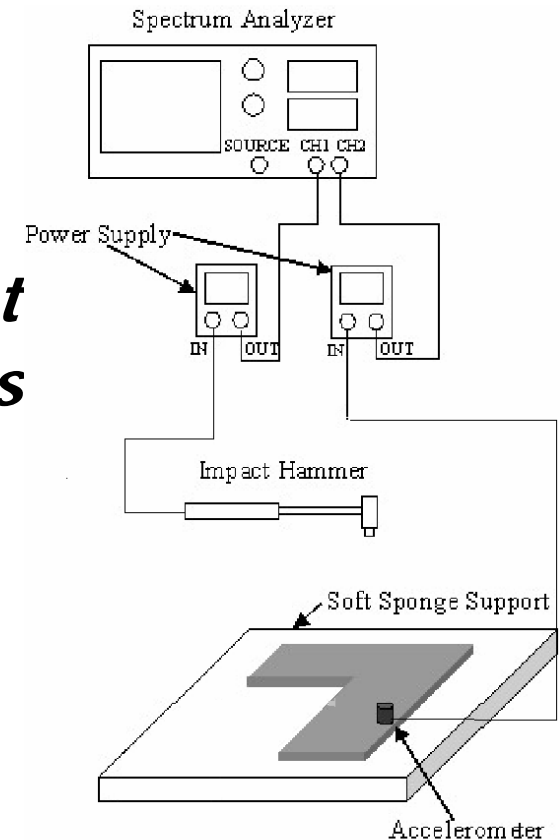
$$U^2 + \left( V + \frac{1}{2d} \right)^2 = \left( \frac{1}{2d} \right)^2$$



# A Demo

## ■ Basic Assumptions

- *The structure is assumed to be linear*
- *The structure is time invariant*
- *The structure obeys Maxwell's reciprocity*
- *The structure is observable*
  - loose components, or degrees-of-freedom of motion that are not measured, are not completely observable.





# Modal Testing

(Lecture 3)

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# Theoretical Basis

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- Undamped MDOF Systems
- MDOF Systems with Proportional Damping
- MDOF Systems with General Structural Damping
- General Force Vector
- Undamped Normal Mode



# Undamped MDOF Systems

- The equation of motion:

$$[M]\{\ddot{x}(t)\} + [K]\{x(t)\} = \{f(t)\}$$

- The modal model:  $[\Phi], \Gamma = \text{diag}(\omega_1^2, \omega_2^2, \dots, \omega_N^2)$
- The orthogonality:

$$[\Phi]^T [M] [\Phi] = [I], [\Phi]^T [K] [\Phi] = [\Gamma].$$

- Forced response solution:

$$([K] - \omega^2 [M])\{X\} e^{i\omega t} = \{F\} e^{i\omega t}$$

$$\{X\} = ([K] - \omega^2 [M])^{-1} \{F\} \Rightarrow \{X\} = [\alpha(\omega)]\{F\}$$



# Undamped MDOF Systems

(continued)

- Response Model

$$([K] - \omega^2 [M])^{-1} = [\alpha(\omega)]^{-1}$$

$$[\Phi]^T ([K] - \omega^2 [M]) [\Phi] = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$([\Gamma] - \omega^2 [I]) = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$[\alpha(\omega)]^{-1} = [\Phi]^{-T} ([\Gamma] - \omega^2 [I]) [\Phi]^{-1}$$

$$[\alpha(\omega)] = [\Phi] ([\Gamma] - \omega^2 [I])^{-1} [\Phi]^T$$



# Undamped MDOF Systems

(continued)

- The receptance matrix is symmetric.

$$\alpha_{jk} = \frac{X_j}{F_k} = \alpha_{kj} = \frac{X_k}{F_j},$$

Single Input

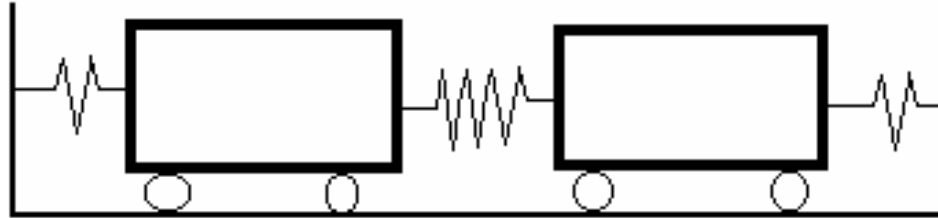
Modal Constant/  
Modal Residue

$$\alpha_{jk}(\omega) = \sum_{r=1}^N \frac{\phi_{jr}\phi_{kr}}{\omega_r^2 - \omega^2} = \sum_{r=1}^N \frac{r A_{jk}}{\omega_r^2 - \omega^2}$$





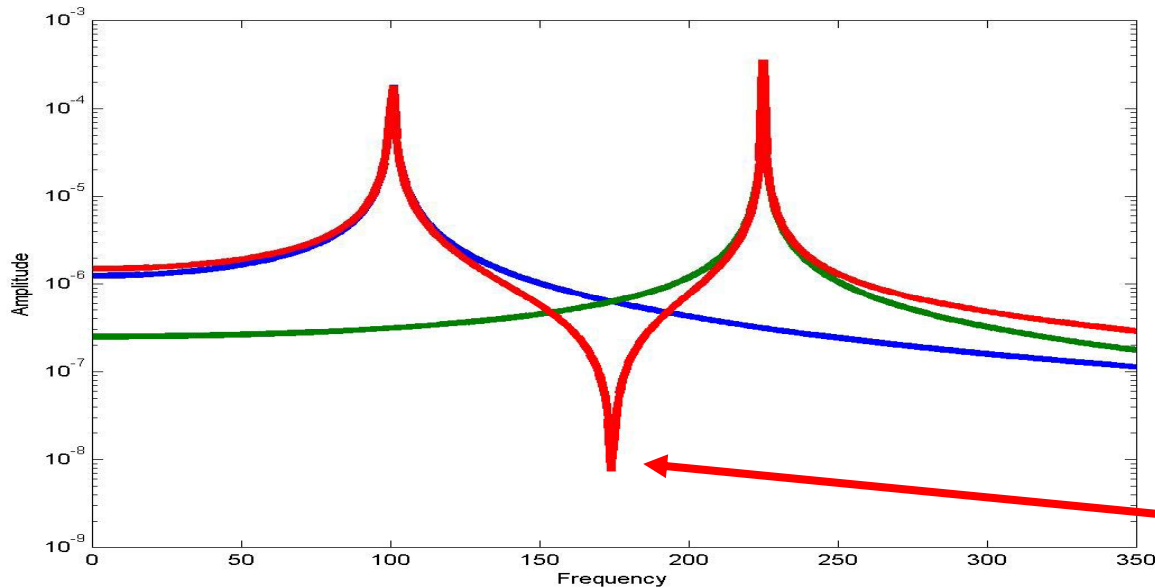
# Example:



$$[M] = \begin{bmatrix} 1 & \\ & 1 \end{bmatrix} kg \quad [K] = \begin{bmatrix} 1.2 & -0.8 \\ -0.8 & 1.2 \end{bmatrix} MN/m$$
$$[\omega_r^2] = \begin{bmatrix} 4e5 & \\ & 2e6 \end{bmatrix} \quad [\Phi] = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$
$$\alpha_{11}(\omega) = \frac{0.5}{4e5 - \omega^2} + \frac{05}{2e6 - \omega^2} = \frac{1.2e6 - \omega^2}{8e11 - 2.4e6\omega^2 + \omega^4}.$$



# Example (continued):

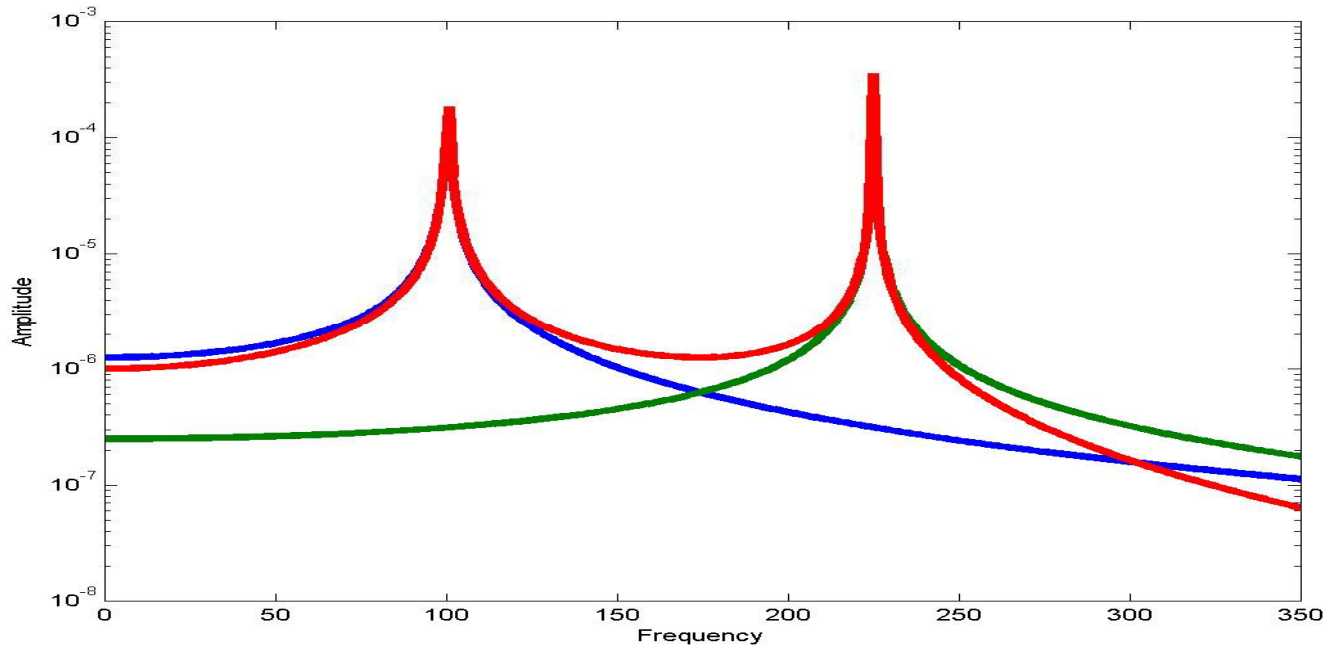


$$\alpha_{11}(\omega) = \frac{0.5}{4e5 - \omega^2} + \frac{05}{2e6 - \omega^2} = \frac{1.2e6 - \omega^2}{8e11 - 2.4e6\omega^2 + \omega^4}.$$

Poles
Zero



# Example (continued):



$$\alpha_{12}(\omega) = \frac{0.5}{4e5 - \omega^2} - \frac{05}{2e6 - \omega^2} = \frac{8e4}{8e11 - 2.4e6\omega^2 + \omega^4}.$$



# MDOF Systems with Proportional Damping

- A proportionally damped matrix is diagonalized by normal modes of the corresponding undamped system

$$[\Phi]^T [D] [\Phi] = \text{diag}(d_1, d_2, \dots, d_N)$$

- Special cases:

$$[D] = \beta [K],$$

$$[D] = \delta [M],$$

$$[D] = \beta [K] + \delta [M].$$



# MDOF Systems with Structurally Proportional Damping

- Response Model

$$([K] + i[D] - \omega^2[M]) = [\alpha(\omega)]^{-1}$$

$$[\Phi]^T ([K] + i[D] - \omega^2[M])[\Phi] = [\Phi]^T [\alpha(\omega)]^{-1}[\Phi]$$

$$([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I]) = [\Phi]^T [\alpha(\omega)]^{-1}[\Phi]$$

$$[\alpha(\omega)]^{-1} = [\Phi]^{-T} ([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I])[\Phi]^{-1}$$

$$[\alpha(\omega)] = [\Phi]([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I])^{-1}[\Phi]^T$$

$$\alpha_{jk}(\omega) = \sum_{r=1}^N \frac{\phi_{jr}\phi_{kr}}{\omega_r^2(1 + i\eta_r^2) - \omega^2}$$

**Real Residue** ← (pointing to  $\phi_{jr}\phi_{kr}$ )  
← (pointing to denominator) **Complex Pole**



# MDOF Systems with Viscously Proportional Damping

- Response Model

$$([K] + i\omega[C] - \omega^2[M]) = [\alpha(\omega)]^{-1}$$

$$[\Phi]^T ([K] + i\omega[C] - \omega^2[M]) [\Phi] = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$([\omega_r^2] + i\omega[2\zeta_r\omega_r] - \omega^2[I]) = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$[\alpha(\omega)]^{-1} = [\Phi]^{-T} ([\omega_r^2] + i\omega[2\zeta_r\omega_r] - \omega^2[I]) [\Phi]^{-1}$$

$$[\alpha(\omega)] = [\Phi] ([\omega_r^2] + i\omega[2\zeta_r\omega_r] - \omega^2[I])^{-1} [\Phi]^T$$

$$\alpha_{jk}(\omega) = \sum_{r=1}^N \frac{\phi_{jr}\phi_{kr}}{\omega_r^2 - \omega^2 + 2\zeta_r\omega_r\omega}$$



# MDOF Systems with General Structural Damping

- The equation of motion:

$$[M]\{\ddot{x}(t)\} + ([K] + i[D])\{x(t)\} = \{f(t)\}$$

- The orthogonality:

$$[\Phi]^T [M] [\Phi] = [I], [\Phi]^T [K + iD] [\Phi] = [\Gamma].$$

Complex Mode Shapes

Complex Eigen-values

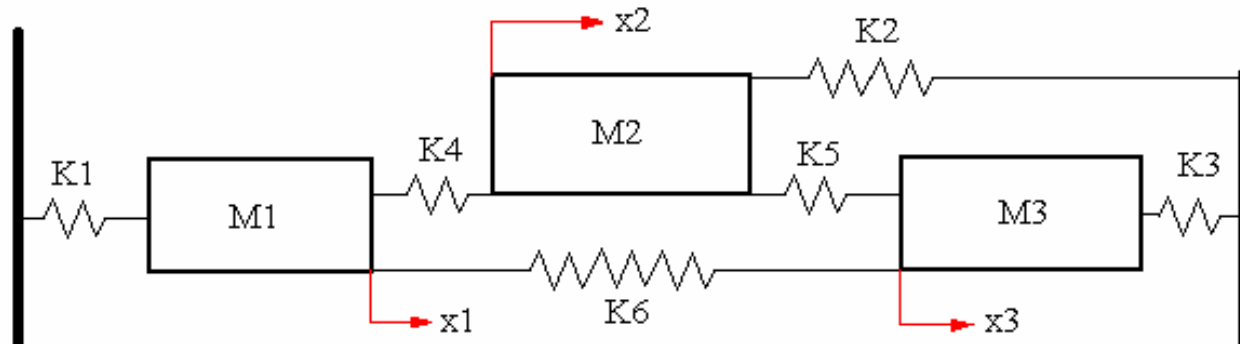
- Forced response solution:

$$([K] + i[D] - \omega^2 [M])\{X\} e^{i\omega t} = \{F\} e^{i\omega t}$$

$$\{X\} = ([K] + i[D] - \omega^2 [M])^{-1} \{F\} \Rightarrow \{X\} = [\alpha(\omega)]\{F\}$$



# Example:



*Model 1*

$$m_1 = 0.5\text{kg}, m_2 = 1\text{kg}, m_3 = 1.5\text{kg}$$

$$k_j = 1e3\text{N} / \text{m}, j = 1, \dots, 6$$

*Undamped*

$$\Gamma = \begin{bmatrix} 950 & & \\ & 3352 & \\ & & 6698 \end{bmatrix}, [\Phi] = \begin{bmatrix} 0.464 & -0.218 & -1.318 \\ 0.536 & -0.782 & 0.318 \\ 0.635 & 0.493 & 0.142 \end{bmatrix}$$





# Example:

*Proportional*

$$[D] = 0.05[K]$$

$$\Gamma = (1 + i0.05) \begin{bmatrix} 950 & & \\ & 3352 & \\ & & 6698 \end{bmatrix}, [\Phi] = \begin{bmatrix} 0.464 & -0.218 & -1.318 \\ 0.536 & -0.782 & 0.318 \\ 0.635 & 0.493 & 0.142 \end{bmatrix}$$

*Non-Proportional*

$$d_1 = 0.3k_1, d_j = 0.0, j = 2, \dots, 6$$

$$\Gamma = \begin{bmatrix} 957(1 + i0.067) & & \\ & 3354(1 + i0.042) & \\ & & 6690(1 + i0.078) \end{bmatrix},$$

$$[\Phi] = \begin{bmatrix} 0.463(-5.5^\circ) & 0.217(173^\circ) & 1.318(181^\circ) \\ 0.537(0.0^\circ) & 0.784(181^\circ) & 0.318(-6.7^\circ) \\ 0.636(1.0^\circ) & 0.492(-1.3^\circ) & 0.142(-3.1^\circ) \end{bmatrix}$$

**Almost real modes**





# Example:

*Model 2*

$$m_1 = 1\text{kg}, m_2 = 0.95\text{kg}, m_3 = 1.05\text{kg}$$

$$k_j = 1e3\text{N/m}, j = 1, \dots, 6$$

*Undamped*

$$\Gamma = \begin{bmatrix} 999 & & \\ & 3892 & \\ & & 4124 \end{bmatrix}, [\Phi] = \begin{bmatrix} 0.577 & -0.602 & 0.552 \\ 0.567 & -0.215 & -0.827 \\ 0.587 & 0.752 & 0.207 \end{bmatrix}$$

*Proportional*

$$[D] = 0.05[K],$$

$$\Gamma = (1 + i0.05) \begin{bmatrix} 999 & & \\ & 3892 & \\ & & 4124 \end{bmatrix}, [\Phi] = \begin{bmatrix} 0.577 & -0.602 & 0.552 \\ 0.567 & -0.215 & -0.827 \\ 0.587 & 0.752 & 0.207 \end{bmatrix}$$



# Example:

*Non – Pr oportional*

$$d_1 = 0.3k_1, d_j = 0.0, j = 2, \dots, 6$$

$$\Gamma = \begin{bmatrix} 1006(1 + i0.1) & & \\ & 3942(1 + i0.031) & \\ & & 4067(1 + i0.019) \end{bmatrix},$$

$$[\Phi] = \begin{bmatrix} 0.578(-4^\circ) & 0.851(162^\circ) & 0.685(40^\circ) \\ 0.569(2^\circ) & 0.570(101^\circ) & 1.019(176^\circ) \\ 0.588(2^\circ) & 0.848(12^\circ) & 0.560(-50^\circ) \end{bmatrix}$$

**Heavily complex modes**



# MDOF Systems with General Structural Damping

$$([K] + i[D] - \omega^2[M]) = [\alpha(\omega)]^{-1}$$

$$[\Phi]^T ([K] + i[D] - \omega^2[M])[\Phi] = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I]) = [\Phi]^T [\alpha(\omega)]^{-1} [\Phi]$$

$$[\alpha(\omega)]^{-1} = [\Phi]^{-T} ([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I])[\Phi]^{-1}$$

$$[\alpha(\omega)] = [\Phi]([\omega_r^2(1 + i\eta_r^2)] - \omega^2[I])^{-1} [\Phi]^T$$

$$\alpha_{jk}(\omega) = \sum_{r=1}^N \frac{\phi_{jr}\phi_{kr}}{\omega_r^2(1 + i\eta_r^2) - \omega^2}$$

**Complex Residues**

**Complex Poles**



# General Force Vector

- In many situations the system is excited at several points.



Theoretical Basis



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## General Force Vector (continued)

- The response is governed by:

$$\left( [K + iD] - \omega^2 [M] \right) \{X\} e^{i\omega t} = \{F\} e^{i\omega t}$$

- The solution:

$$\{X\} = \sum_{r=1}^N \frac{\{\phi\}_r^T \{F\} \{\phi\}_r}{\omega_r^2 (1 + i\eta_r^2) - \omega^2}$$

- All forces have the same frequency but may vary in magnitude and phase.



# General Force Vector (continued)

---

- The response vector is referred to:
  - Forced Vibration Mode
  - or Operating Deflection Shape (ODS)
- When the excitation frequency is close to the natural frequency:
  - ODS reflects the shape of nearby mode
  - But not identical due to contributions of other modes.



# General Force Vector (continued)

---

- Damped system normal mode:
  - By carefully tuning the force vector the response can be controlled by a single mode.
  - This is attained if  $\{\phi\}_r^T \{F\}_s = \delta_{rs}$
  - Depending upon damping condition the force vector entries may well be complex (they have different phases)





# Undamped Normal Mode

---

- Special Case of interest:
  - Harmonic excitation of mono-phased forces
    - Same frequency
    - Same phase
    - Magnitudes may vary
- Is it possible to obtain mono-phased response?



# Undamped Normal Mode

(continued)

- The real force response amplitudes:

$$\{f(t)\} = \{\hat{F}\} e^{i\omega t}$$

$$\{x(t)\} = \{\hat{X}\} e^{i(\omega t - \theta)} \quad \left( [K + iD] - \omega^2 [M] \right) \{\hat{X}\} e^{i\omega t} = \{\hat{F}\} e^{i\omega t}$$

- Real and imaginary parts:

$$\left( ([K] - \omega^2 [M]) \cos \theta + [D] \sin \theta \right) \{\hat{X}\} = \{\hat{F}\}$$

$$\left( ([K] - \omega^2 [M]) \sin \theta + [D] \cos \theta \right) \{\hat{X}\} = \{0\}$$

- The 2<sup>nd</sup> equation is an eigen-value problem; its solutions leads to real  $\{\hat{F}\}$



# Undamped Normal Mode

(continued)

---

- At a frequency that the phase lag between all forces and all responses is 90 degree then

$$\left( ([K] - \omega^2 [M]) \sin \theta + [D] \cos \theta \right) \{ \hat{X} \} = \{ 0 \}$$

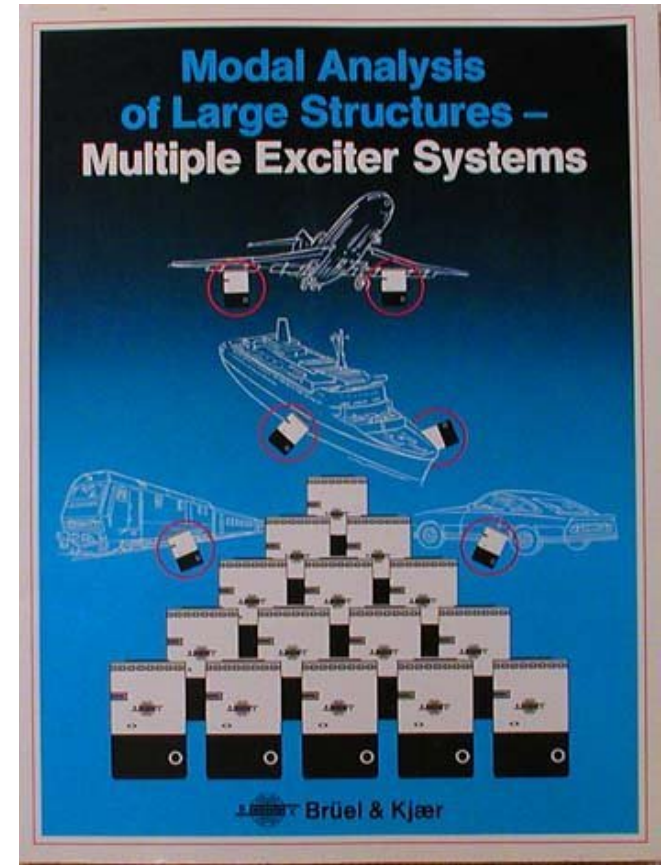
- Results
  - Undamped normal modes
  - Natural frequencies of undamped system



# Undamped Normal Mode

(continued)

- The base for multi-shaker test procedures.
- Modal Analysis of Large Structures: Multiple Exciter Systems By: M. Phil. K. Zaveri





# Modal Testing

(Lecture 4)

---

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# Theoretical Basis

---

- General Force Vector
- Undamped Normal Mode
- MDOF System with General Viscous Damping
- Force Response Solution/ General Viscous Damping



# General Force Vector

- In many situations the system is excited at several points.



Theoretical Basis



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# General Force Vector

- Otherwise you end up damaging the structure!!!!



Theoretical Basis



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# General Force Vector (continued)

- The response is governed by:

$$([K + iD] - \omega^2 [M])\{X\}e^{i\omega t} = \{F\}e^{i\omega t}$$

- All forces have the same frequency but may vary in magnitude and phase.

- The solution:

$$\{X\} = \sum_{r=1}^N \frac{\{\phi\}_r^T \{F\} \{\phi\}_r}{\omega_r^2 (1 + i\eta_r^2) - \omega^2}$$



# General Force Vector (continued)

---

- The response vector is referred to:
  - Forced Vibration Mode
  - or Operating Deflection Shape (ODS)
- When the excitation frequency is close to the natural frequency:
  - ODS reflects the shape of nearby mode
  - But not identical due to contributions of other modes.



# General Force Vector (continued)

---

- Damped system normal mode:
  - By carefully tuning the force vector the response can be controlled by a single mode.
  - This is attained if  $\{\phi\}_r^T \{F\}_s = \delta_{rs}$
  - Depending upon damping condition the force vector entries may well be complex (they have different phases)



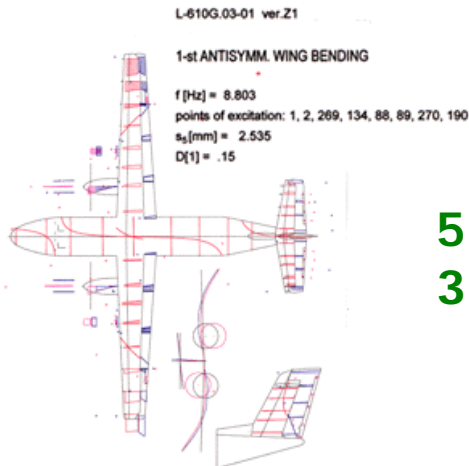
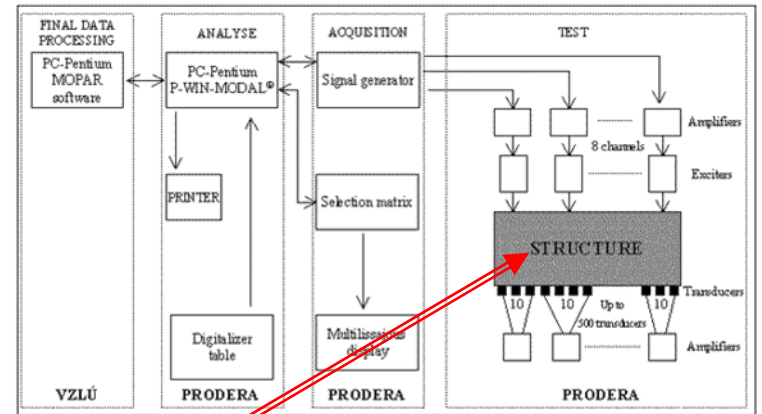
# Undamped Normal Mode

---

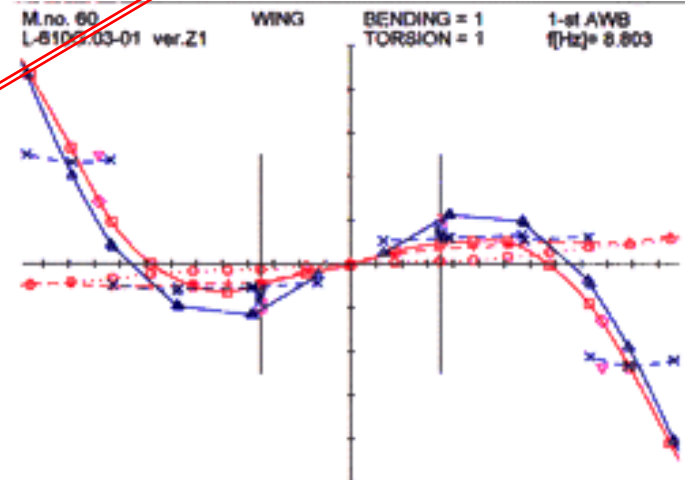
- Special Case of interest:
  - Harmonic excitation of mono-phased forces
    - Same frequency
    - Same phase
    - Magnitudes may vary
- Is it possible to obtain mono-phased response?



# Undamped Normal Mode



**512 channel  
37 Shakers**



Theoretical Basis



# Undamped Normal Mode

(continued)

- The real force response amplitudes:

$$\{f(t)\} = \{\hat{F}\} e^{i\omega t}$$

$$\{x(t)\} = \{\hat{X}\} e^{i(\omega t - \theta)} \quad ([K + iD] - \omega^2 [M]) \{\hat{X}\} e^{i(\omega t - \theta)} = \{\hat{F}\} e^{i\omega t}$$

- Real and imaginary parts:

$$(( [K] - \omega^2 [M] ) \cos \theta + [D] \sin \theta) \{\hat{X}\} = \{\hat{F}\}$$

$$(( [K] - \omega^2 [M] ) \sin \theta + [D] \cos \theta) \{\hat{X}\} = \{0\}$$

- The 2<sup>nd</sup> equation is an eigen-value problem; its solutions leads to real  $\{\hat{F}\}$



# Undamped Normal Mode

(continued)

---

- At a frequency that the phase lag between all forces and all responses is 90 degree then

$$\left( ([K] - \omega^2 [M]) \sin \theta + [D] \cos \theta \right) \{ \hat{X} \} = \{ 0 \}$$

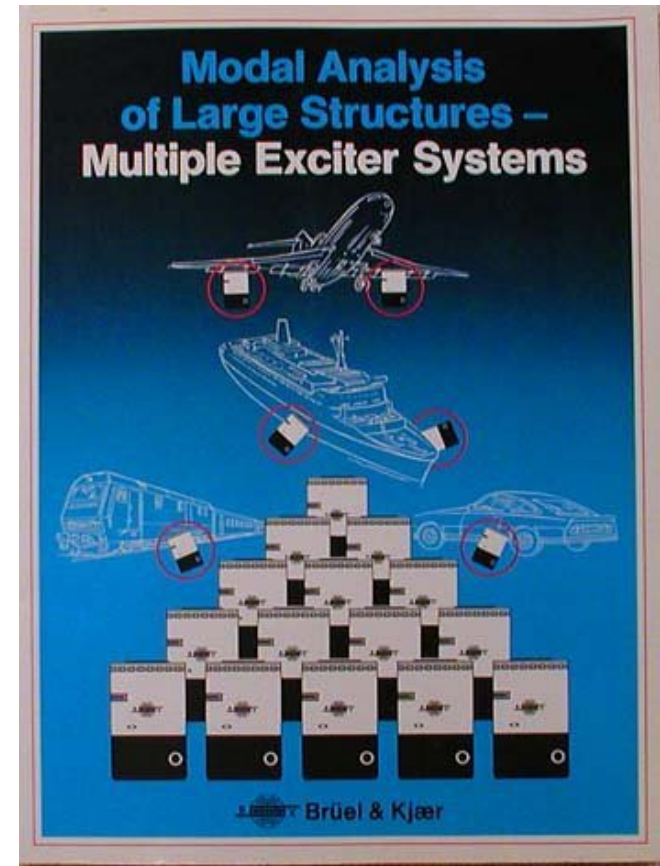
- Results  $\Rightarrow ([K] - \omega^2 [M]) \{ \hat{X} \} = \{ 0 \}$ 
  - Undamped normal modes
  - Natural frequencies of undamped system



# Undamped Normal Mode

(continued)

- The base for multi-shaker test procedures.
- Modal Analysis of Large Structures: Multiple Exciter Systems By: M. Phil. K. Zaveri







# MDOF System with General Viscous Damping

$$E.O.M. \Rightarrow [M]\{\ddot{x}\} + [C]\{\dot{x}\} + [K]\{x\} = \{f\}$$

$$\{f(t)\} = \{F\}e^{i\omega t} \Rightarrow \{x(t)\} = \{X\}e^{i\omega t}$$

$$\{X\} = \left( [K] - \omega^2 [M] + i\omega [C] \right)^{-1} \{F\}$$

- Next the orthogonality properties of the system in  $2N$  space is used for force response solution.



# Force Response Solution

$$EOM \Rightarrow \begin{bmatrix} C & M \\ M & 0 \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \ddot{x} \end{Bmatrix} + \begin{bmatrix} K & 0 \\ 0 & -M \end{bmatrix} \begin{Bmatrix} x \\ \dot{x} \end{Bmatrix} = \begin{Bmatrix} f \\ 0 \end{Bmatrix}$$

$$Free \text{ Vib.} \Rightarrow \begin{bmatrix} C & M \\ M & 0 \end{bmatrix} \{ \dot{u} \} + \begin{bmatrix} K & 0 \\ 0 & -M \end{bmatrix} \{ u \} = \{ 0 \}$$

$$Eigen - solution \Rightarrow \left( s_r \begin{bmatrix} C & M \\ M & 0 \end{bmatrix} + \begin{bmatrix} K & 0 \\ 0 & -M \end{bmatrix} \right) \{ u_r \} = \{ 0 \}$$

$$\Rightarrow U^T \begin{bmatrix} C & M \\ M & 0 \end{bmatrix} U = I, U^T \begin{bmatrix} K & 0 \\ 0 & -M \end{bmatrix} U = \text{diag}(s_1, s_2, \dots, s_{2N}).$$



# Force Response Solution

$$\begin{Bmatrix} X \\ i\omega X \end{Bmatrix} = \sum_{r=1}^{2N} \frac{u_r^T \begin{Bmatrix} F \\ 0 \end{Bmatrix} u_r}{i\omega - s_r} = \sum_{r=1}^N \frac{u_r^T \begin{Bmatrix} F \\ 0 \end{Bmatrix} u_r}{i\omega - s_r} + \frac{u_r^H \begin{Bmatrix} F \\ 0 \end{Bmatrix} u_r^*}{i\omega - s_r^*}$$

- The above simplification is due to the fact that eigen-values and eigen-vectors occur in complex conjugate pairs.



# Force Response Solution

---

- Single point excitation:

$$\alpha_{jk}(\omega) = \sum_{r=1}^N \frac{u_{jr} u_{kr}}{i\omega - s_r} + \frac{u_{jr}^* u_{kr}^*}{i\omega - s_r^*}$$



# Modal Testing

(Lecture 5)

---

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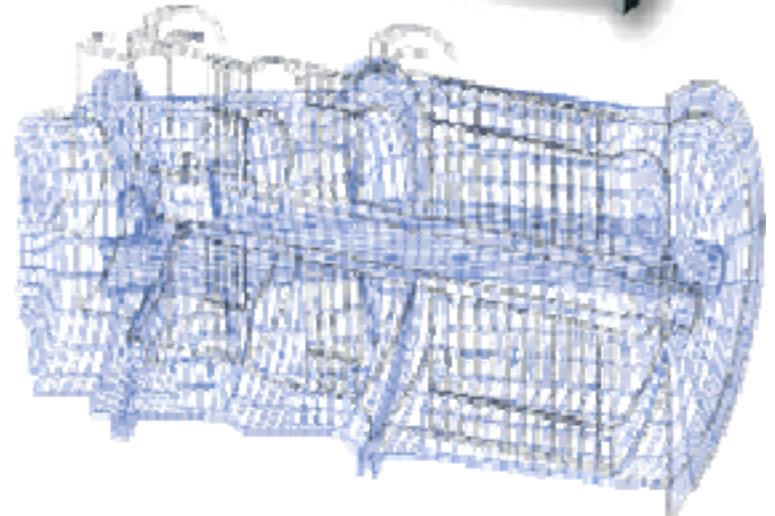
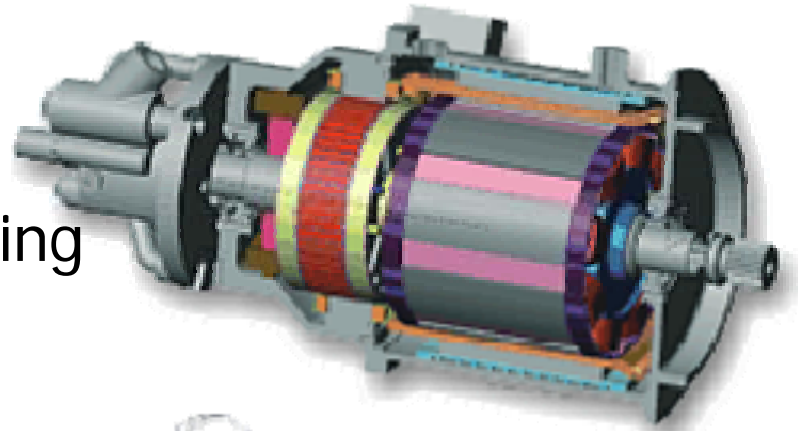
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# Modal Analysis of Rotating Structures

- Non-symmetry in system matrices
- Modes of undamped rotating system
  - Symmetric Stator
  - Non-Symmetric Stator
- FRF's of rotating system
- Out-of-balance excitation
  - Synchronous excitation
  - Non-Synchronous excitation





# Non-symmetry in System Matrices

---

- The rotating structures are subject to additional forces:
  - Gyroscopic forces
  - Rotor-stator rub forces
  - Electrodynamic forces
  - Unsteady aerodynamic forces
  - Time varying fluid forces
- These forces can destroy the symmetry of the system matrices.

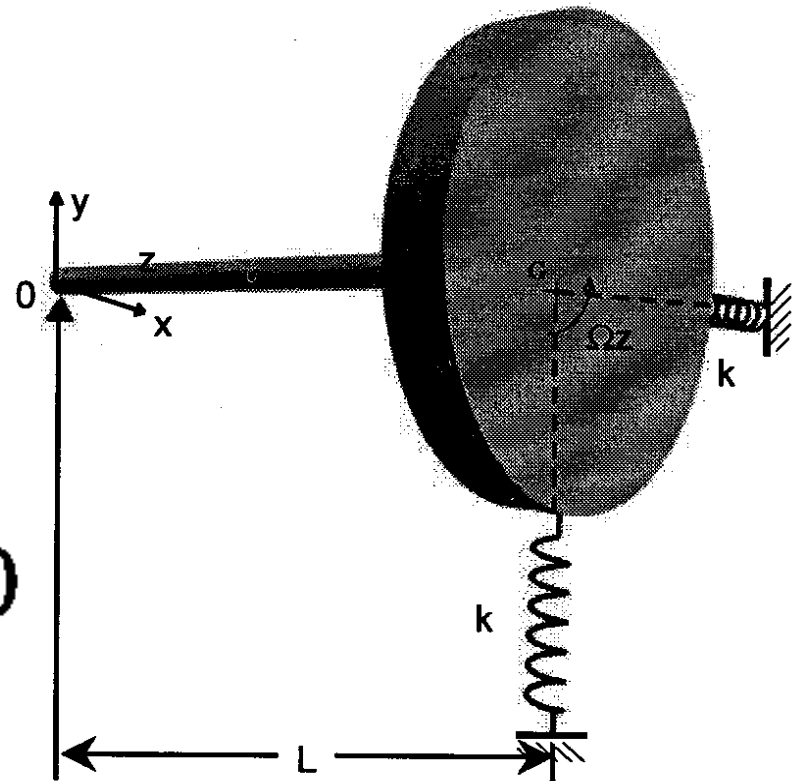


# Non-rotating system properties

- A rigid disc mounted on the free end of a rigid shaft of length  $L$ ,
- The other end of is effectively pin-jointed.

$$(I_0 / L)\ddot{x} + k_x L x = 0$$

$$(I_0 / L)\ddot{y} + k_y L y = 0$$

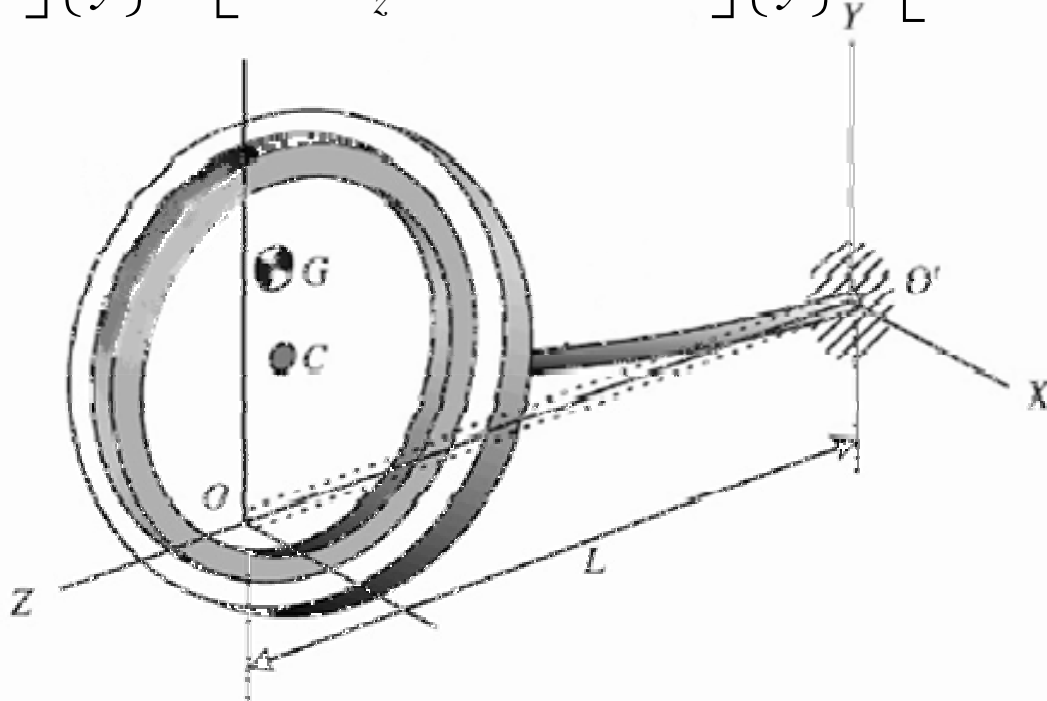






# Modes of Undamped Rotating System

$$\begin{bmatrix} I_0/L & 0 \\ 0 & I_0/L \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + \begin{bmatrix} 0 & J\Omega_z/L \\ -J\Omega_z/L & 0 \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \begin{bmatrix} k_x L & 0 \\ 0 & k_y L \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}.$$





# Symmetric stator

$$k_x = k_y = k, \quad \leftarrow \text{Support is symmetric}$$

$$x = X e^{i\omega t}, \quad \leftarrow \text{Simple harmonic motion}$$

$$y = Y e^{i\omega t}, \quad \leftarrow \text{Simple harmonic motion}$$

$$\begin{bmatrix} \left(k - \omega^2 I_0 / L^2\right) & \left(i\omega J\Omega_z / L^2\right) \\ \left(-i\omega J\Omega_z / L^2\right) & \left(k - \omega^2 I_0 / L^2\right) \end{bmatrix} \begin{Bmatrix} X \\ Y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix},$$

$$\omega^4 - \left(2 \frac{kL^2}{I_0} + \left(\frac{J\Omega_z}{I_0}\right)^2\right) \omega^2 + \left(\frac{kL^2}{I_0}\right)^2 = 0.$$

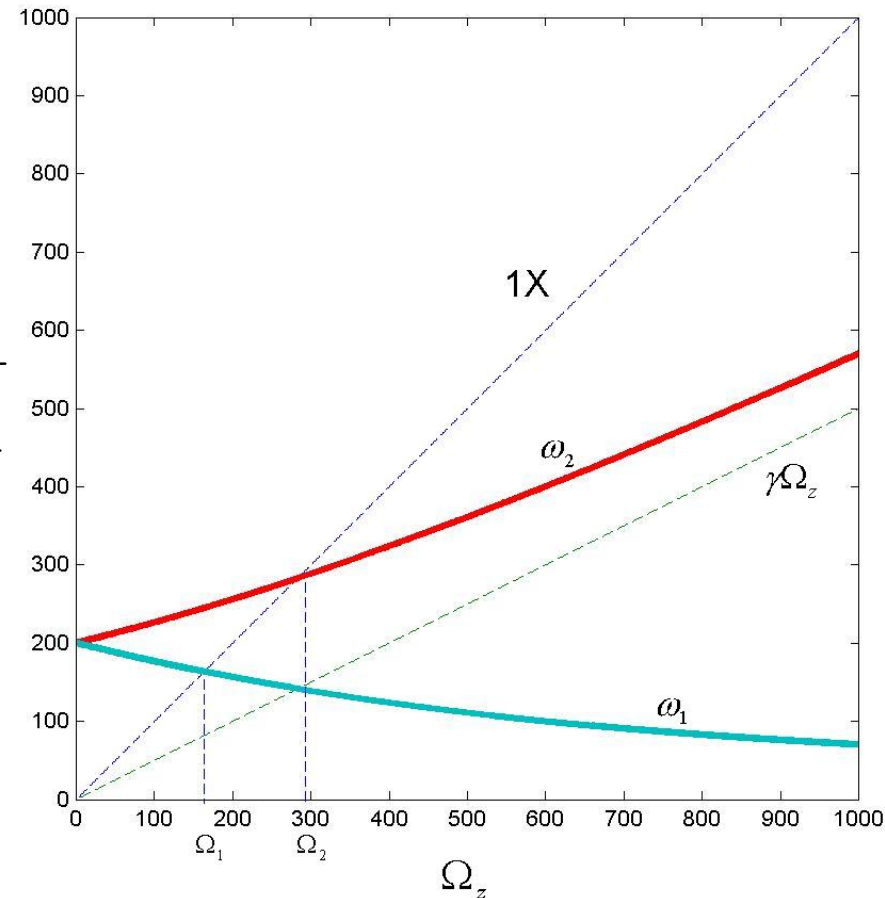


# Natural Frequencies

$$\omega^4 - \left( 2 \frac{kL^2}{I_0} + \left( \frac{J\Omega_z}{I_0} \right)^2 \right) \omega^2 + \left( \frac{kL^2}{I_0} \right)^2 = 0.$$

$$\Rightarrow \begin{cases} \omega_{1,2}^2 = \omega_0^2 + \frac{\gamma^2 \Omega_z^2}{2} \pm \gamma \Omega_z \sqrt{\omega_0^2 + \frac{\gamma^2 \Omega_z^2}{4}} \\ \omega_0^2 = \frac{kL^2}{I_0}, & \gamma = \frac{J}{I_0} \end{cases}$$

Theoretical Basis

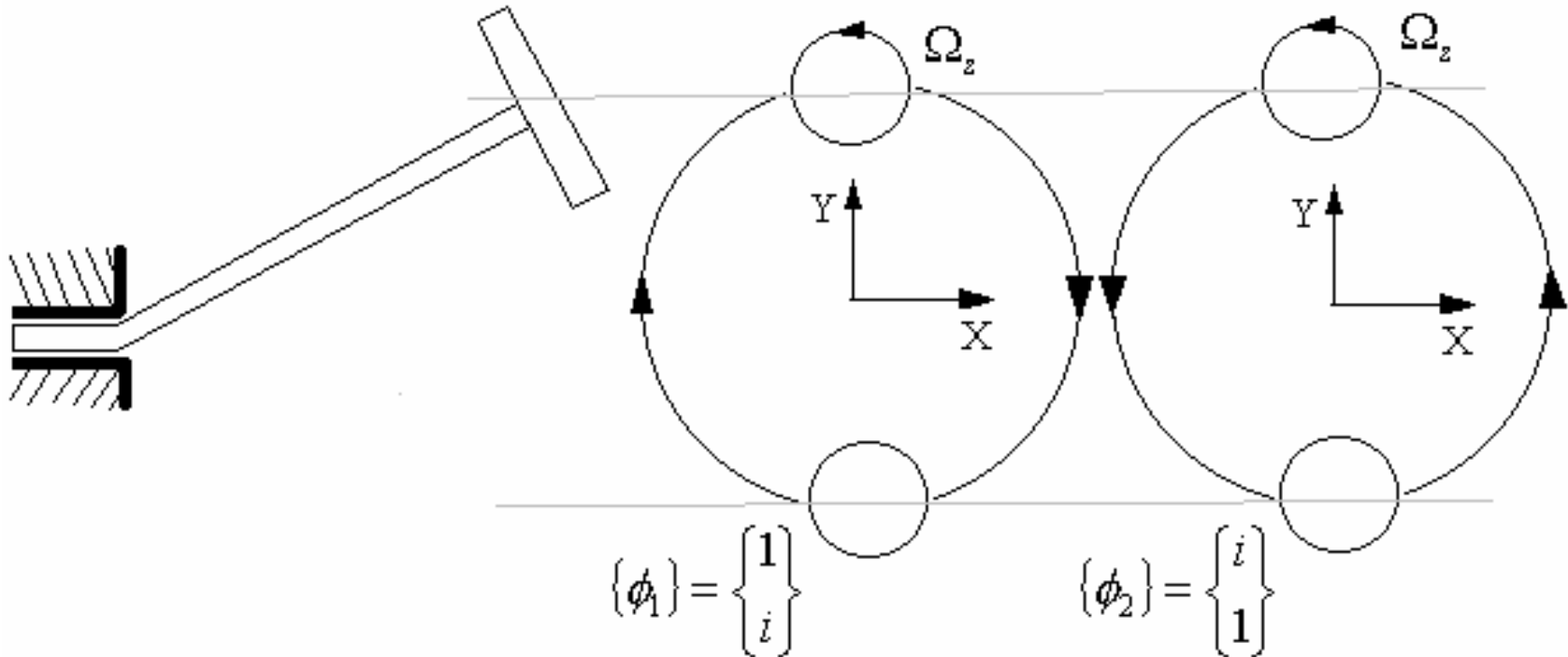


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# Mode Shapes

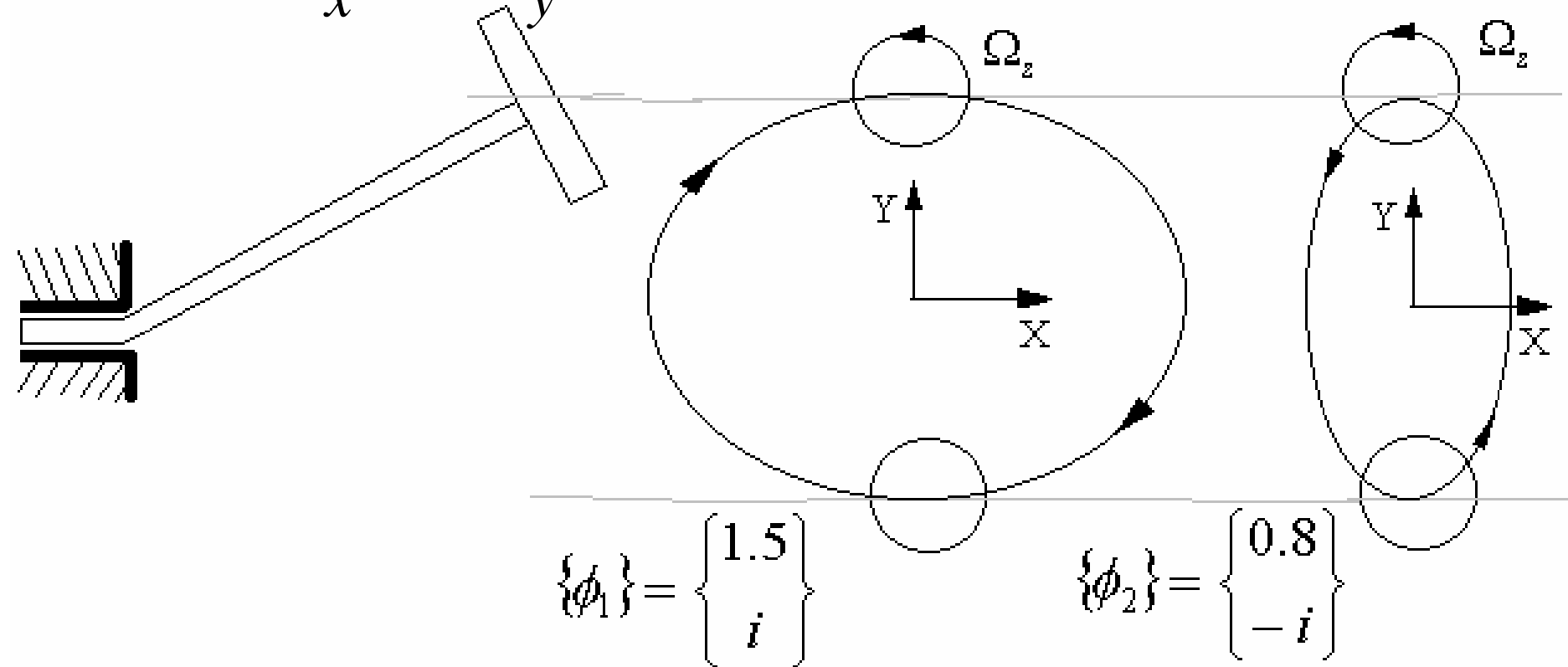
$$\begin{bmatrix} (k - \omega_1^2 I_0 / L^2) & (i\omega_1 J\Omega_z / L^2) \\ (-i\omega_1 J\Omega_z / L^2) & (k - \omega_1^2 I_0 / L^2) \end{bmatrix} \begin{Bmatrix} 1 \\ i \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}, \quad \begin{bmatrix} (k - \omega_2^2 I_0 / L^2) & (i\omega_2 J\Omega_z / L^2) \\ (-i\omega_2 J\Omega_z / L^2) & (k - \omega_2^2 I_0 / L^2) \end{bmatrix} \begin{Bmatrix} i \\ 1 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}.$$





# Non-symmetric Stator

$$k_x \neq k_y$$





# FRF of the Rotating Structure

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + \begin{bmatrix} c & J\Omega_z / L^2 \\ -J\Omega_z / L^2 & c \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \begin{bmatrix} k & 0 \\ 0 & k \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{Bmatrix} f_x \\ f_y \end{Bmatrix},$$

External Damping

$$[\alpha(\omega)] = \begin{bmatrix} (k - \omega^2 I_0 / L^2 + ic\omega) & (i\omega J\Omega_z / L^2) \\ -(i\omega J\Omega_z / L^2) & (k - \omega^2 I_0 / L^2 + ic\omega) \end{bmatrix}^{-1}$$

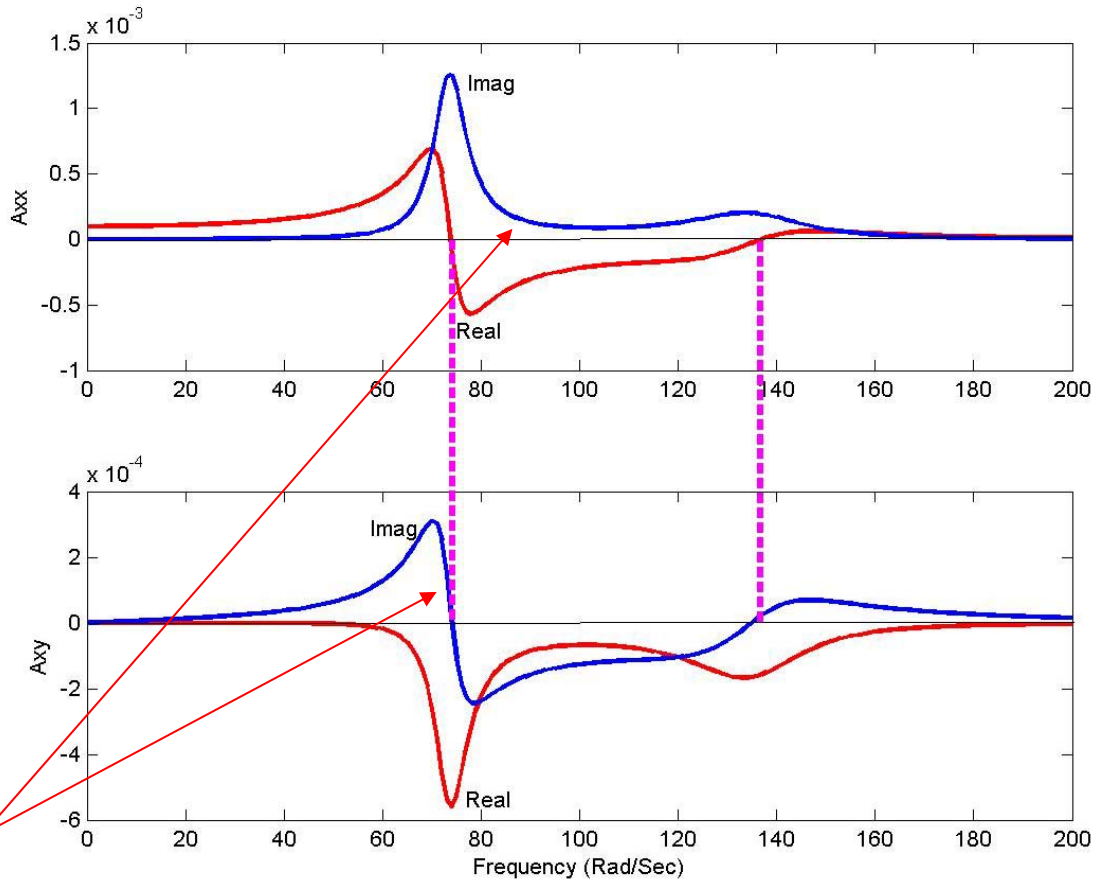
$$\Rightarrow \begin{cases} \alpha_{xx}(\omega) = \alpha_{yy}(\omega) \\ \alpha_{xy}(\omega) = -\alpha_{yx}(\omega) \end{cases}$$

Loss of Reciprocity

Coupling Effect



# FRF of the Rotating Structure with External Damping



Complex Mode Shapes  
due to significant  
imaginary part



# Out-of-balance excitation

---

- Response analysis for the particular case of excitation provided by out-of-balance forces is investigated:
  - When the force results from an out-of-balance mass on the rotor, it is of a synchronous nature
  - When the force results from an out-of-balance mass on a co/counter rotating shaft, it is of a non-synchronous nature





# Synchronous OOB Excitation

$$\{F\} = mr\Omega^2 \begin{Bmatrix} \cos(\Omega t) \\ \sin(\Omega t) \end{Bmatrix} = F_{OOB} \begin{Bmatrix} 1 \\ -i \end{Bmatrix} e^{i\Omega t}$$

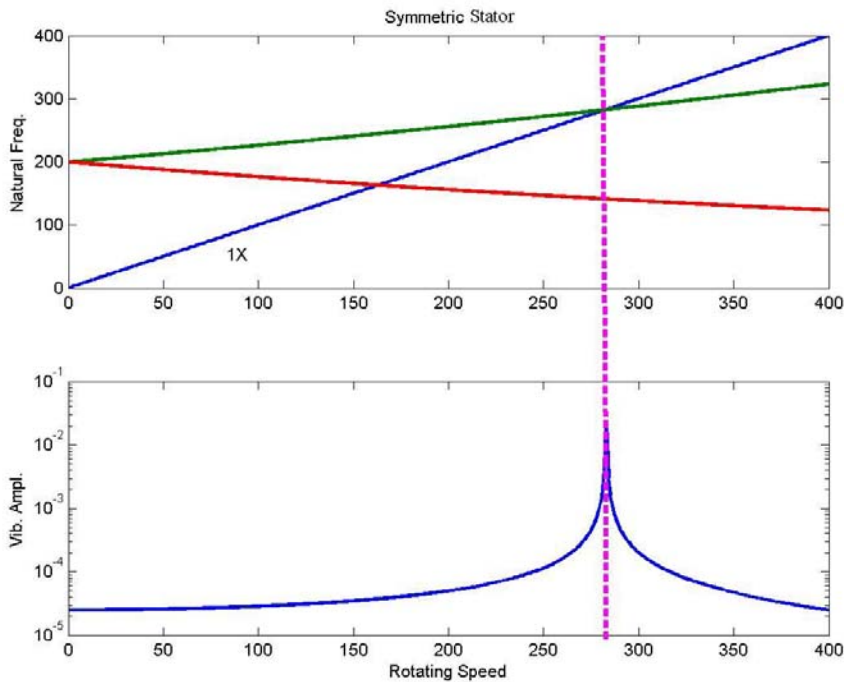
*Symmetric – Stator :*

$$\Rightarrow \begin{Bmatrix} X \\ Y \end{Bmatrix} e^{i\Omega t} = F_{OOB} \begin{Bmatrix} A \\ -iA \end{Bmatrix} e^{i\Omega t}$$

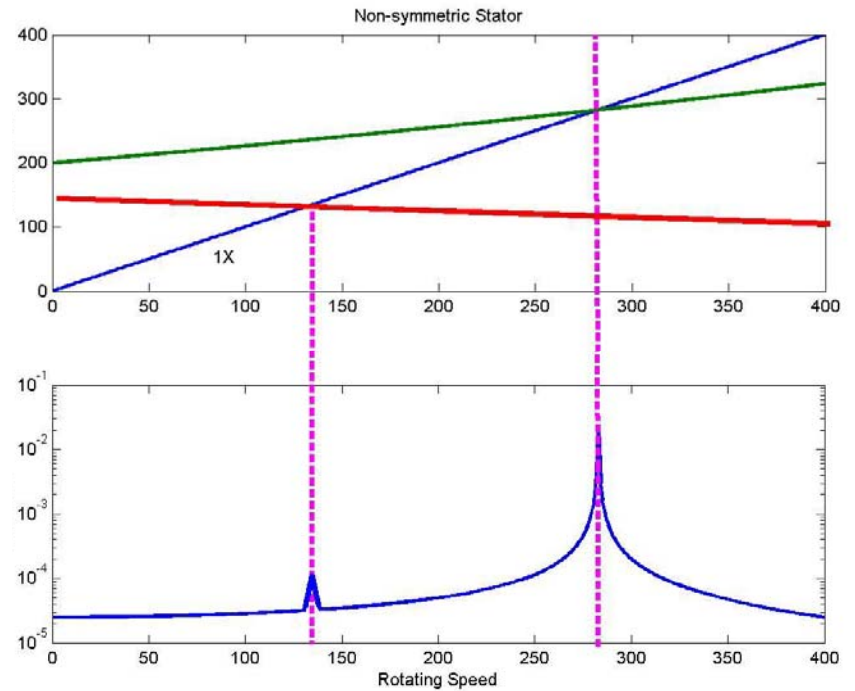
$$A = \frac{L^2}{I_0(\omega_0^2 - \Omega^2(1 - \gamma))}$$



# Synchronous OOB Excitation



Theoretical Basis



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# Non-Synchronous OOB Excitation

- Force is generated by another rotor at different speed

$$\text{Excitation} \Rightarrow \beta\Omega$$

$$\begin{Bmatrix} X \\ Y \end{Bmatrix} e^{i\beta\Omega t} = F_{OOB} \begin{Bmatrix} A \\ -iA \end{Bmatrix} e^{i\beta\Omega t}$$

$$A = \frac{L^2}{I_0(\omega_0^2 - \beta\Omega^2(\beta - \gamma))}$$

- The essential results are the same as for synchronous case.

# Modal Testing

(Lecture 6)



---

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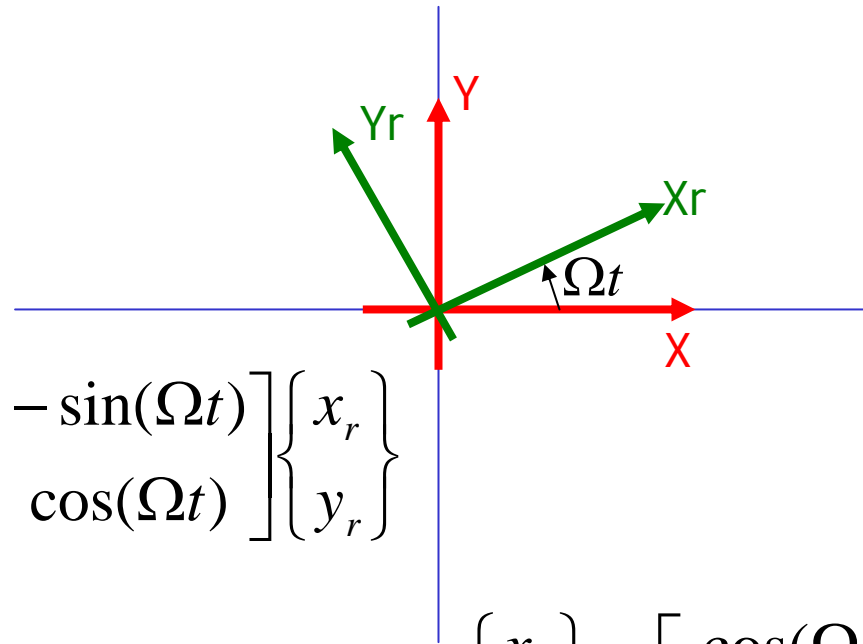
# Theoretical Basis

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- Analysis using rotating frame
- Damping in rotating and stationary frames
- Dynamic analysis of general rotor-stator systems
  - Linear Time Invariant Rotor-Stator Systems
  - LTI Rotor-Stator Viscous Damp System
  - LTI Systems Eigen-Properties



# Analysis using rotating frame



$$\begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & -\sin(\Omega t) \\ \sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} x_r \\ y_r \end{Bmatrix}$$

$$\begin{Bmatrix} x_r \\ y_r \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix}$$



# Analysis using rotating frame

## Transformation Matrices

$$\begin{Bmatrix} x_r \\ y_r \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = [T_1] \begin{Bmatrix} x \\ y \end{Bmatrix},$$

$$\begin{Bmatrix} \dot{x}_r \\ \dot{y}_r \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \Omega \begin{bmatrix} -\sin(\Omega t) & \cos(\Omega t) \\ -\cos(\Omega t) & -\sin(\Omega t) \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = [T_1] \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \Omega [T_2] \begin{Bmatrix} x \\ y \end{Bmatrix},$$

$$\begin{aligned} \begin{Bmatrix} \ddot{x}_r \\ \ddot{y}_r \end{Bmatrix} &= \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + 2\Omega \begin{bmatrix} -\sin(\Omega t) & \cos(\Omega t) \\ -\cos(\Omega t) & -\sin(\Omega t) \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} - \Omega^2 \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} \\ &= [T_1] \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + 2\Omega [T_2] \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \Omega^2 [T_1] \begin{Bmatrix} x \\ y \end{Bmatrix} \end{aligned}$$



# Analysis using rotating frame

## Equation of Motion in Stationary Coordinates

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + \begin{bmatrix} 0 & J\Omega_z / L^2 \\ -J\Omega_z / L^2 & 0 \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \begin{bmatrix} k_x & 0 \\ 0 & k_y \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix},$$

$$\omega_1 = \sqrt{\omega_0^2 + (\gamma\Omega_z / 2)^2} - \gamma\Omega_z / 2 \quad \omega_2 = \sqrt{\omega_0^2 + (\gamma\Omega_z / 2)^2} + \gamma\Omega_z / 2$$

## Equation of Motion in Rotating Coordinates

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x}_r \\ \ddot{y}_r \end{Bmatrix} + \begin{bmatrix} 0 & -2\Omega_z I_0 / L^2 + J\Omega_z / L^2 \\ 2\Omega_z I_0 / L^2 - J\Omega_z / L^2 & 0 \end{bmatrix} \begin{Bmatrix} \dot{x}_r \\ \dot{y}_r \end{Bmatrix} + \begin{bmatrix} -\Omega_z^2 I_0 / L^2 + J\Omega_z^2 / L^2 + k_x c^2 + k_y s^2 & cs(k_y - k_x) \\ cs(k_y - k_x) & -\Omega_z^2 I_0 / L^2 + J\Omega_z^2 / L^2 + k_x c^2 + k_y s^2 \end{bmatrix} \begin{Bmatrix} x_r \\ y_r \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}.$$

$$\omega_1 = \sqrt{\omega_0^2 + (\gamma\Omega_z / 2)^2} - \gamma\Omega_z / 2 + \Omega_z \quad \omega_2 = \sqrt{\omega_0^2 + (\gamma\Omega_z / 2)^2} + \gamma\Omega_z / 2 - \Omega_z$$

**Note: Eigenvectors remain unchanged**





# Analysis using rotating frame

$$\begin{Bmatrix} F_{xr} \\ F_{yr} \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} F_x \\ F_y \end{Bmatrix}.$$

For Example:

$$\begin{Bmatrix} F_{xr} \\ F_{yr} \end{Bmatrix} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \begin{Bmatrix} F_0 \\ 0 \end{Bmatrix} \cos(\omega t)$$
$$= \frac{F_0}{2} \begin{Bmatrix} \cos(\omega - \Omega)t + \cos(\omega + \Omega)t \\ \sin(\omega - \Omega)t + \sin(\omega + \Omega)t \end{Bmatrix}$$

**Response harmonics not present in the excitation**



# Internal Damping in rotating and stationary frames

## Equation of Motion in Rotating Coordinates

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x}_r \\ \ddot{y}_r \end{Bmatrix} + \begin{bmatrix} c_I & -2\Omega_z I_0 / L^2 + J\Omega_z / L^2 \\ 2\Omega_z I_0 / L^2 - J\Omega_z / L^2 & c_I \end{bmatrix} \begin{Bmatrix} \dot{x}_r \\ \dot{y}_r \end{Bmatrix} + \begin{bmatrix} -\Omega_z^2 I_0 / L^2 + J\Omega_z^2 / L^2 + k & 0 \\ 0 & -\Omega_z^2 I_0 / L^2 + J\Omega_z^2 / L^2 + k \end{bmatrix} \begin{Bmatrix} x_r \\ y_r \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}.$$

## Equation of Motion in Stationary Coordinates

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + \begin{bmatrix} c_I & J\Omega_z / L^2 \\ -J\Omega_z / L^2 & c_I \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \begin{bmatrix} k_x & \Omega_z c_I \\ -\Omega_z c_I & k_y \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix},$$



# Internal/External Damping in 2DOF System

$$\begin{bmatrix} I_0 / L^2 & 0 \\ 0 & I_0 / L^2 \end{bmatrix} \begin{Bmatrix} \ddot{x} \\ \ddot{y} \end{Bmatrix} + \begin{bmatrix} c_E + c_I & J\Omega_z / L^2 \\ -J\Omega_z / L^2 & c_E + c_I \end{bmatrix} \begin{Bmatrix} \dot{x} \\ \dot{y} \end{Bmatrix} + \begin{bmatrix} k_x & \Omega_z c_I \\ -\Omega_z c_I & k_y \end{bmatrix} \begin{Bmatrix} x \\ y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix},$$

At super critical speeds the real parts of eigen-values may become positive, i.e. unstable system



# Dynamic Analysis of General Rotor-Stator Systems

---

- The rotating machines and their modal testing is much more complex
  - Non-symmetric bearing support
  - Fixed/Rotating observation frame
  - Non-axisymmetric rotors
  - Internal/External damping
- These lead to:
  - Time-varying modal properties
  - Response harmonics not present in the excitation
  - Instabilities (negative modal damping)



# Dynamic Analysis of General Rotor-Stator Systems

---

- Equation of motion of rotating systems are prone:
  - to lose the symmetry
  - to generate complex eigen-values/vectors from velocity/displacement related non-symmetry
  - to include time varying coefficients as appose to conventional Linear Time Invariant (LTI) systems



# Dynamic Analysis of General Rotor-Stator Systems

System Type	Stationary Coord.	Rotating Coord.
R-symm;S-symm	LTI	LTI
R-symm;S-nonsymm	LTI	L(t)
R-nonsymm;S-symm	L(t)	LTI
R-nonsymm;S-nonsymm	L(t)	L(t)

**LTI: Linear Time Invariant**

**L(t): Linear Time Dependent**



# Linear Time Invariant Rotor-Stator Systems

---

$$[M]\{\ddot{x}\} + ([C] + [G(\Omega)])\{\dot{x}\} + ([K] + i[D] + [E(\Omega)])\{x\} = \{f(t)\}$$

$$[M], [C], [K], [D] \Rightarrow \textit{Symm.}$$

$$[G(\Omega)], [E(\Omega)] \Rightarrow \textit{Skew - symm.}$$

- Solution of equations will follow different routes depending upon the specific features.



# LTI Rotor-Stator Systems (Viscous Damping Only)

$$[A]\{\dot{u}\} + [B]\{u\} = \{0\},$$

$$[A] = \begin{bmatrix} C + G(\Omega) & M \\ -M & 0 \end{bmatrix}$$

$$[B] = \begin{bmatrix} K + E(\Omega) & 0 \\ 0 & M \end{bmatrix}$$

$$\{u\} = \begin{Bmatrix} x \\ \dot{x} \end{Bmatrix}$$

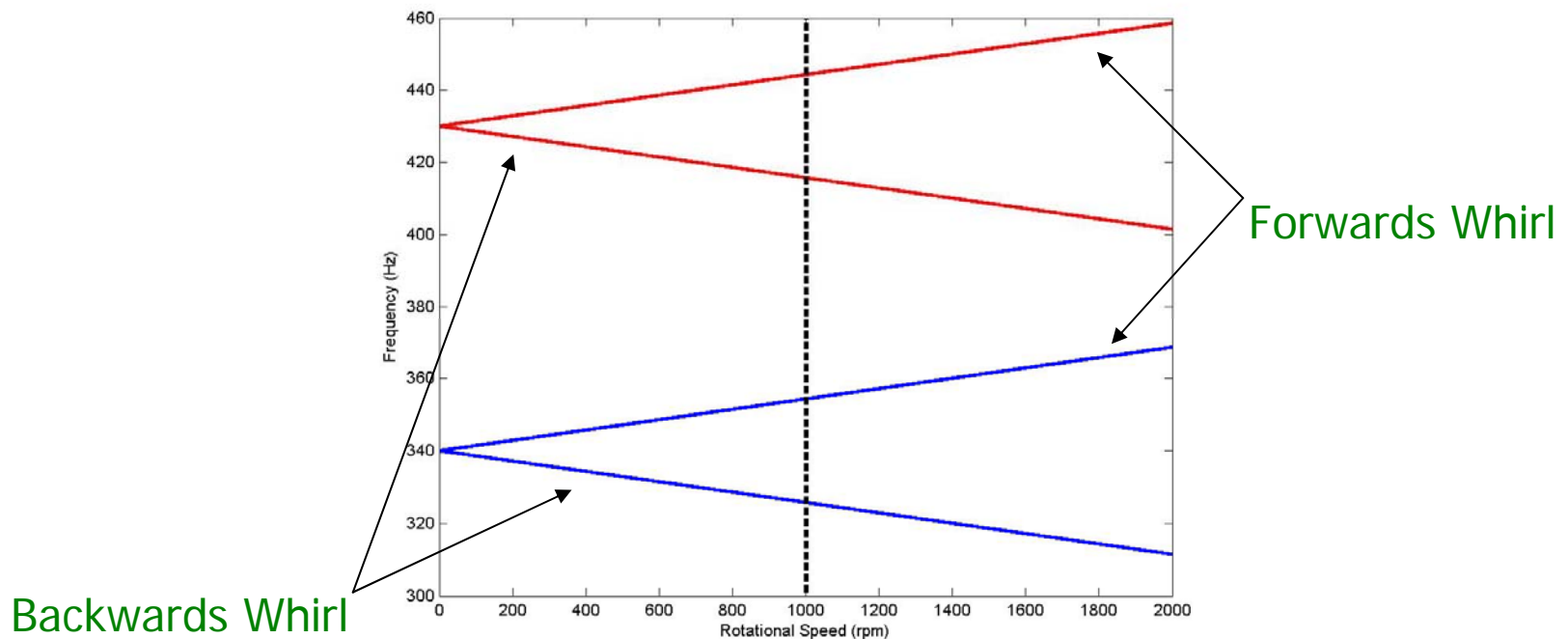
- The system matrices are non-symmetric
- Complex eigenvals
- Two eigenvect sets:
  - RH; mode shapes
  - LH; normal excitation shapes





# LTI Rotor-Stator Systems (Viscous Damping Only)

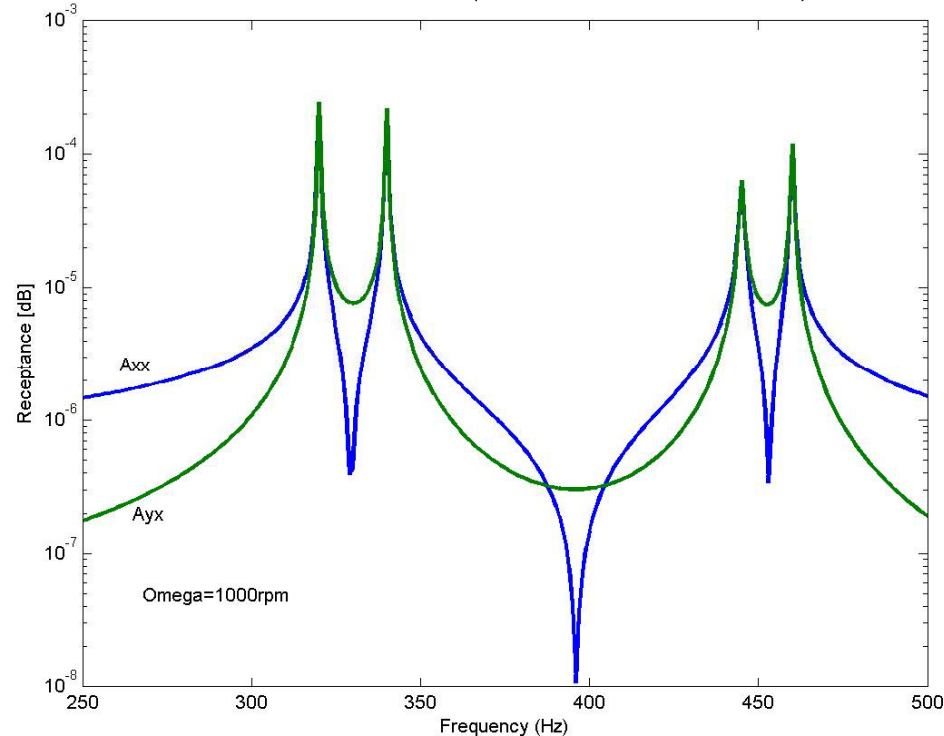
- Symmetric Rotor/ Non-symmetric Support





# FRF of LTI Rotor-Stator Systems

$$[\alpha(\omega)] = [V_{RH}] [(\lambda_r - i\omega)]^{-1} [V_{LH}]^H$$





# LTI Systems Eigen-Properties

- Skew-symmetry in damping Matrix

$$[M] = \begin{bmatrix} 1 & \\ & 1 \end{bmatrix}, [K] = \begin{bmatrix} 3 & -1 \\ -1 & 3 \end{bmatrix},$$

$$[C] = \Delta C \begin{bmatrix} 0 & 0.5 \\ -0.5 & 0 \end{bmatrix} + (1 - \Delta C) \begin{bmatrix} 1 & -0.5 \\ -0.5 & 1 \end{bmatrix}$$



# LTI Systems Eigen-Properties

$\Delta C$	$\lambda_2$	$X_1$	$X_2$
0.0	$-0.75 + 1.85i$	1	-1.00
0.1	$-0.68 + 1.88i$	1	$-1.05 + 0.08i$
0.3	$-0.52 + 1.94i$	1	$-1.08 + 0.28i$
0.5	$-0.37 + 1.99i$	1	$-1.03 + 0.49i$
0.7	$-0.23 + 2.04i$	1	$-0.90 + 0.63i$
0.9	$-0.07 + 2.08i$	1	$-0.76 + 0.71i$
1.0	$2.11i$	1	$-0.69 + 0.73i$



# LTI Systems Eigen-Properties

---

- Skew-symmetry in stiffness Matrix

$$[M] = \begin{bmatrix} 1 & \\ & 1 \end{bmatrix}, [C] = 0,$$

$$[K] = \Delta K \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} + (1 - \Delta K) \begin{bmatrix} 3 & -1 \\ -1 & 3 \end{bmatrix}$$



# LTI Systems Eigen-Properties

$\Delta K$	$\lambda_2$	$X_1$	$X_2$
0.0	2.00i	1	-1.00
0.1	1.90i	1	-1.12
0.3	1.65i	1	-1.58
0.5	1.23i	1	Infinity
0.7	0.32+1.00i	1	1.58i
0.9	0.57+0.79i	1	1.12i
1.0	0.70+0.70i	1	i

# Modal Testing

(Lecture 7)



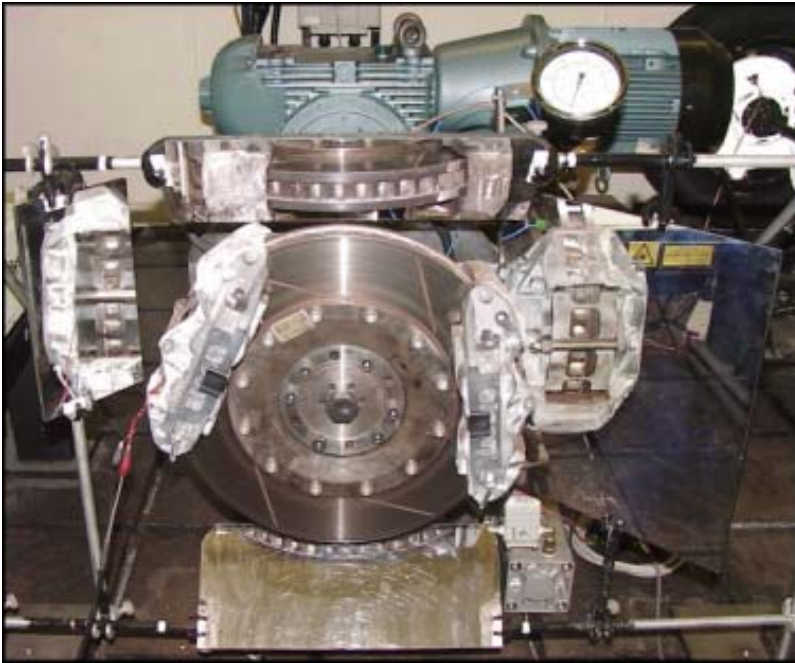
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# Complex Measured Modes



Overview of Modal Testing



IUST ,Modal Testing Lab ,Dr H Ahmadian





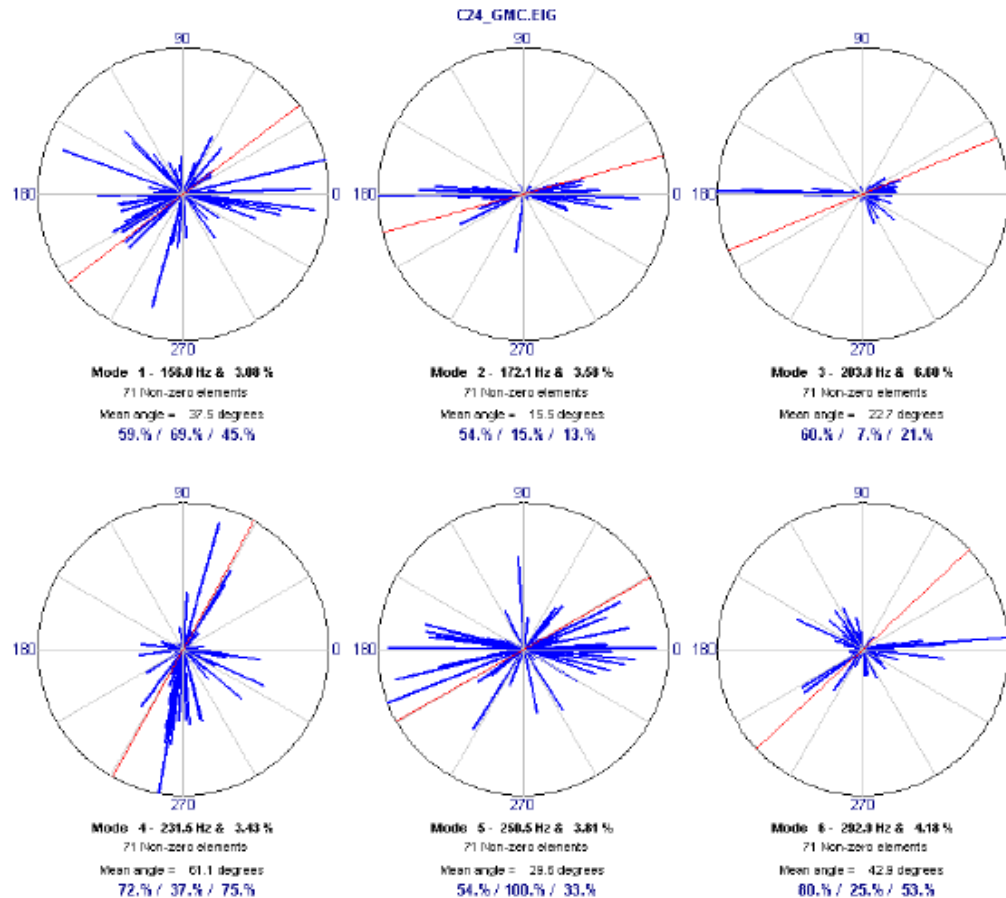
# Complex Measured Modes



**Predator Aircraft Ground Vibration Test  
4 Shakers used at 8 Locations**

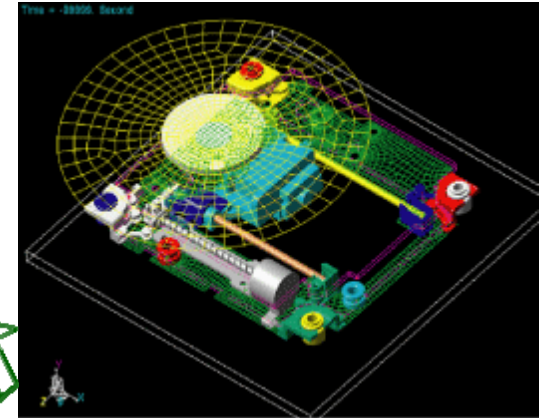
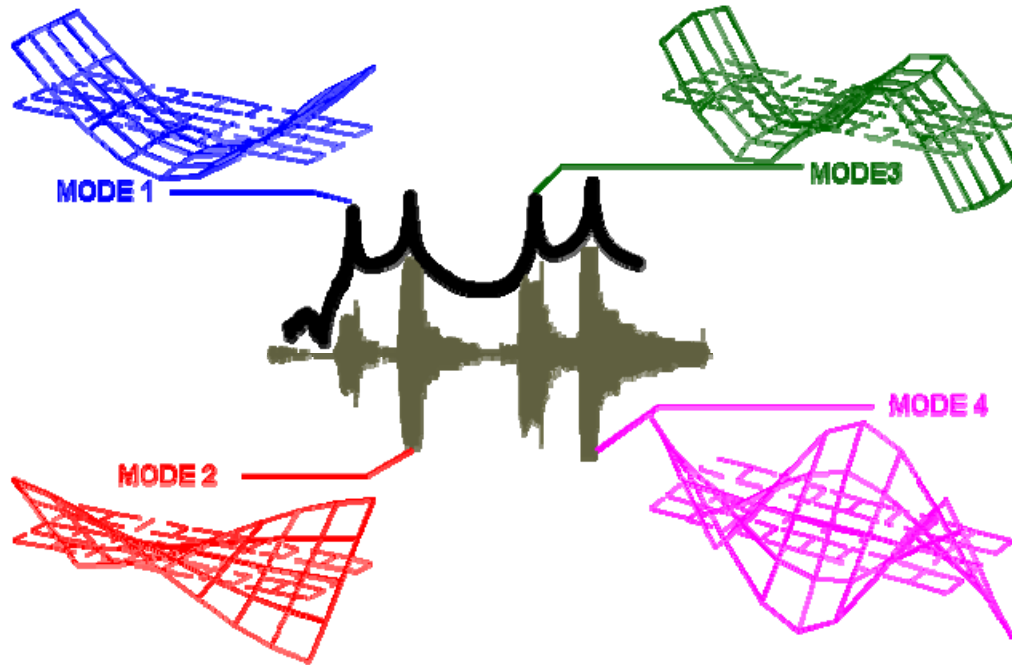


# Display of Mode Complexity





# Analytical Real Modes



UMCES LOWELL MODAL ANALYSIS and CONTROL LABORATORY - Francesco Petráš and Fabio Paganelli



# Extracting real modes from complex measured modes

- H Ahmadian, GML Gladwell - Proceedings of the 13th International Modal Analysis (1995):
  - The optimum real mode is the one with maximum correlation with the complex measured one:

$$\max \frac{|\phi_r^T \phi_c|}{\|\phi_r\|^2 \|\phi_c\|^2}$$



# Extracting real modes

---

- Normalizing the complex measured mode shape:

$$\|\phi_c\| = 1.$$

- The problem is rewritten as:

$$\max (\phi_r^T \phi_c \phi_c^* \phi_r), \quad \text{subject to } \|\phi_r\| = 1.$$



# Extracting real modes

Write  $\phi_c = \phi_R + i\phi_I$ , then

$$\phi_c \phi_c^* = U + iV,$$

where

Symmetric

Skew-symmetric

$$U = \phi_R \phi_R^T + \phi_I \phi_I^T,$$

$$V = \phi_I \phi_R^T - \phi_R \phi_I^T.$$

Rank 2 matrices



# Extracting real modes

---

- Since  $V$  is skew symmetric,

$$\phi_r^T V \phi_r = 0$$

- Therefore the problem is equivalent to:

$$\max (\phi_r^T U \phi_r), \quad \text{Subject to } \|\phi_r\| = 1.$$



# Extracting real modes

$$\max (\phi_r^T U \phi_r), \quad \text{Subject to } \|\phi_r\| = 1.$$

But  $U$  is an  $n \times n$  positive semi-definite matrix with rank 2. Therefore it has  $(n - 2)$  zero eigenvalues and 2 positive ones  $\lambda_1$ , and  $\lambda_2$ . The  $\phi_r$  which maximizes (2) is the eigenvector corresponding to the larger of the two positive eigenvalues.





# Extracting real modes

---

We now show that the real vector  $\phi_r$  obtained as the eigenvector of  $U$  is precisely the same as the real part of the complex mode rotated so that its real part is maximized. To find this latter mode we must choose  $\theta$  so that:

$$\max_{\theta} \| \text{Real}(\phi_c e^{i\theta}) \|^2.$$



# Extracting real modes

$$\begin{aligned}\| \text{Real}(\phi_c e^{i\theta}) \|^2 &= \|\phi_R \cos \theta + \phi_I \sin \theta\|^2, \\ &= \phi_R^T \phi_R \cos^2 \theta + \phi_I^T \phi_I \sin^2 \theta + 2\phi_R^T \phi_I \sin \theta \cos \theta, \\ &= \frac{\phi_R^T \phi_R + \phi_I^T \phi_I}{2} + \\ &\quad \left\{ \frac{\phi_R^T \phi_R - \phi_I^T \phi_I}{2} \cos 2\theta + \phi_R^T \phi_I \sin 2\theta \right\},\end{aligned}$$

so that the function is maximized or minimized when

$$\frac{\cos 2\theta}{\sin 2\theta} = \frac{\phi_R^T \phi_R - \phi_I^T \phi_I}{2\phi_R^T \phi_I}$$



# Extracting real modes

To verify that the real part of the rotated mode,  $\phi_R \cos \theta + \phi_I \sin \theta$ , is an eigenvector of  $U$ , i.e.

$$(\phi_R \phi_R^T + \phi_I \phi_I^T)(\phi_R \cos \theta + \phi_I \sin \theta) = \lambda(\phi_R \cos \theta + \phi_I \sin \theta),$$

we note that this is true provided that:

$$\begin{aligned}(\phi_R^T \phi_R \cos \theta + \phi_R^T \phi_I \sin \theta) &= \lambda \cos \theta, \\(\phi_I^T \phi_I \cos \theta + \phi_I^T \phi_R \sin \theta) &= \lambda \sin \theta.\end{aligned}$$



# Extracting real modes

$$2(\phi_R^T \phi_I) \cos 2\theta = (\phi_R^T \phi_R - \phi_I^T \phi_I) \sin 2\theta,$$

$$(\phi_R^T \phi_I)(\cos^2 \theta - \sin^2 \theta) =$$

$$(\phi_R^T \phi_R - \phi_I^T \phi_I) \sin \theta \cos \theta,$$

$$(\phi_R^T \phi_R \cos \theta + \phi_R^T \phi_I \sin \theta) \sin \theta =$$

$$(\phi_R^T \phi_I \cos \theta + \phi_I^T \phi_I \sin \theta) \cos \theta.$$

This last equation implies that there is a constant  $\lambda$  satisfying equations (6), (7).



## Follow-ups:

---

- E. Foltete, J. Piranda, “Transforming Complex Eigenmodes into Real Ones Based on an Appropriation Technique”, *Journal of Vibration and Acoustics*, JANUARY 2001, Vol. 123
- S.D. GARVEY, J.E.T. PENNY, “THE RELATIONSHIP BETWEEN THE REAL AND IMAGINARY PARTS OF COMPLEX MODES”, *Journal of Sound and Vibration* 1998,212(1),75-83



# Modal Testing

(Lecture 8)

---

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# Theoretical Basis

---

- Non-sinusoidal Vibration and FRF Properties:
  - Periodic Vibration
  - Transient Vibration
  - Random Vibration
    - Violation of Dirichlet's conditions
    - Autocorrelation and PSD functions
    - H1 and H2
- Incomplete Response Models



# Non-sinusoidal Vibration and FRF Properties

- With the FRF data, response of a MDOF system to a set of harmonic loads:

$$\{X\}e^{i\omega t} = [\alpha(\omega)]\{F\}e^{i\omega t}$$

Different amplitudes and phases

The same frequency

- We shall now turn our attention to a range of other excitation/response situations.





# Periodic Vibration

---

- Excitation is not simply sinusoidal but retain periodicity.
- The easiest way of computing the response is by means of Fourier Series,

$$f_k(t) = \sum_{n=1}^{\infty} F_{nk} e^{i\omega_n t} \quad \omega_n = \frac{2\pi}{T}$$

$$x_j(t) = \sum_{n=1}^{\infty} \alpha_{jk}(\omega_n) F_{nk} e^{i\omega_n t}$$



# Periodic Vibration

---

- To derive FRF from periodic vibration signals:
  - Determine the Fourier Series components of the input force and the relevant response
  - Both series contain components at the same set of discrete frequencies
  - The FRF can be defined at the same set of frequency points by computing the ratio of response to input components.



# Transient Vibration

---

- Analysis via Fourier Transform

$$F(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} f(t) e^{-i\omega t} dt$$

$$X(\omega) = H(\omega)F(\omega)$$

$$x(t) = \int_{-\infty}^{+\infty} H(\omega)F(\omega) e^{i\omega t} d\omega$$



# Transient Vibration

---

- Response via time domain (superposition)

$$x(t) = \int_{-\infty}^{+\infty} h(t - \tau) f(\tau) d\tau$$

$$\text{Let } \rightarrow f(t) = \delta(t) \Rightarrow F(\omega) = \frac{1}{2\pi}$$

$$\text{Then } \rightarrow x(t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} H(\omega) e^{i\omega t} d\omega = h(t)$$



# Transient Vibration

---

- To derive FRF from transient vibration signals:
  - Calculation of the Fourier Transforms of both excitation and response signals
  - Computing the ratio of both signals at the same frequency
- In practice it is common to compute a DFT of the signals.



# Random Vibration

---

- Neither excitation nor response signal can be subject to a valid Fourier Transform:
  - Violation of Dirichlet Conditions
    - Finite number of isolated min and max
    - Finite number of points of finite discontinuity
- Here we assume the random signals to be ergodic



# Random Vibration

$$f(t) \leftarrow \text{Time Signal}$$

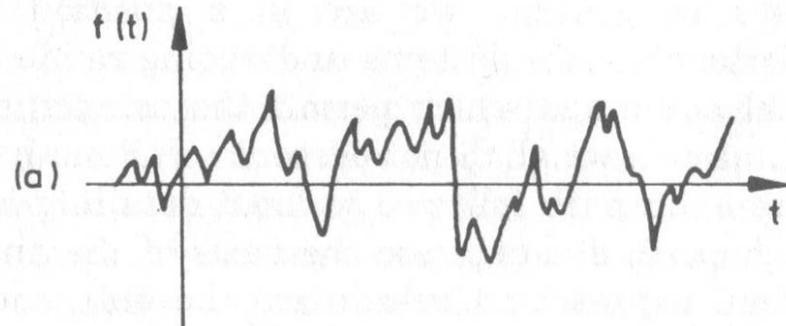
$$R_{ff}(\tau) = \int_{-\infty}^{+\infty} f(t) f(t + \tau) dt \leftarrow \text{Autocorrelation Function}$$

$$S_{ff}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} R_{ff}(\tau) e^{-i\omega\tau} d\tau \leftarrow \text{Power Spectral Density}$$

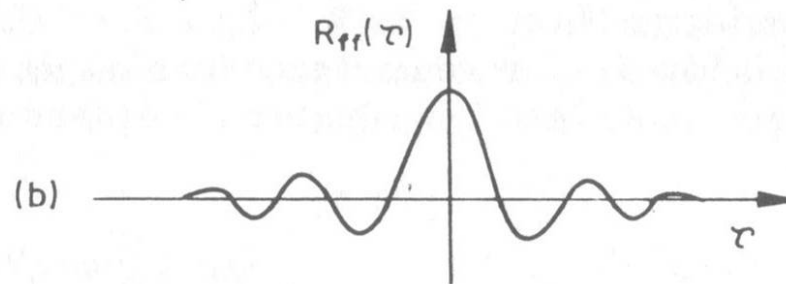


# Random Vibration

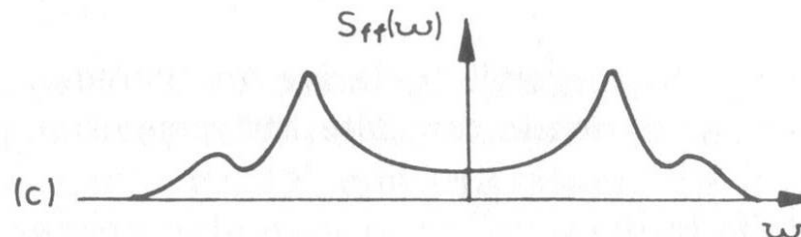
**Time Signal**



**Autocorrelation**



**Power Spectral Density**

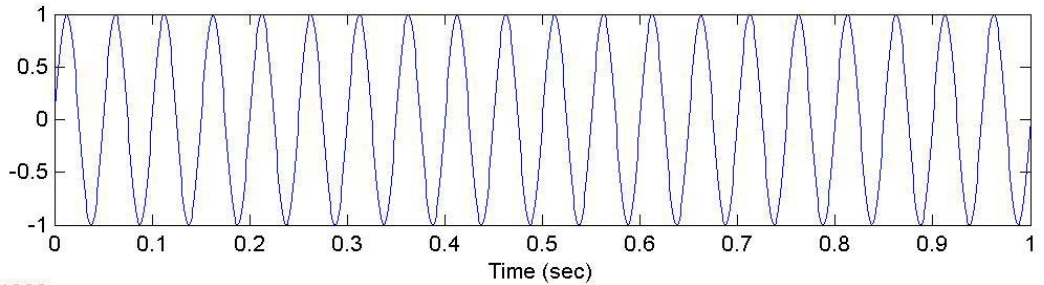




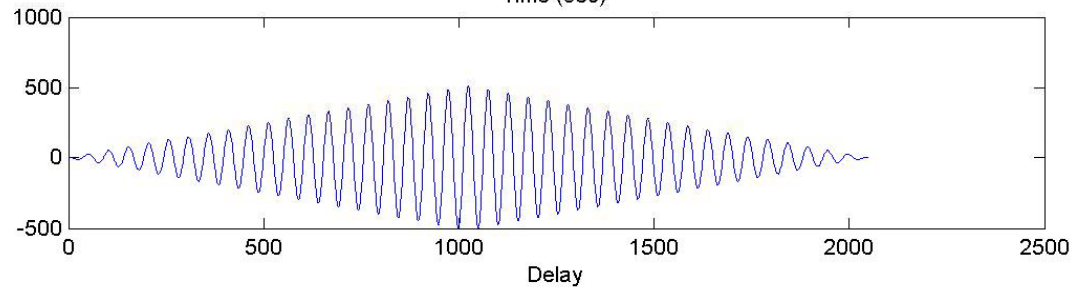


# Random Vibration

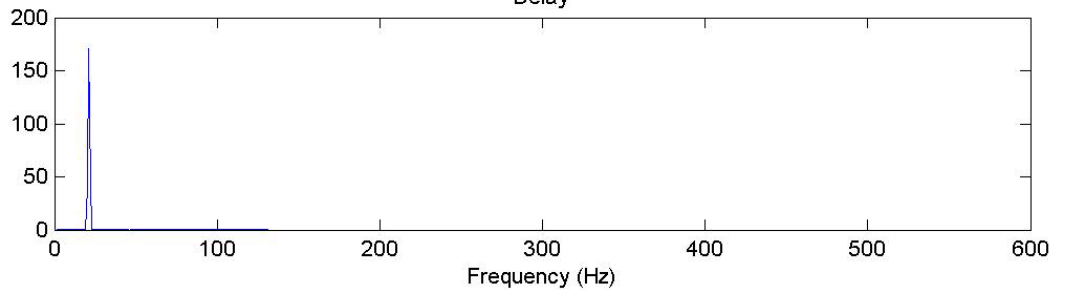
**Sinusoidal Signal**



**Autocorrelation**



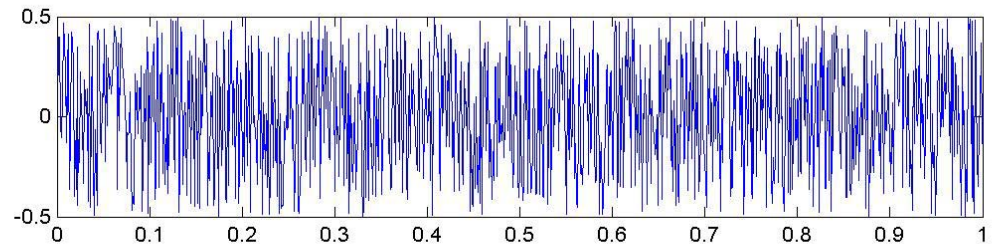
**Power Spectral Density**



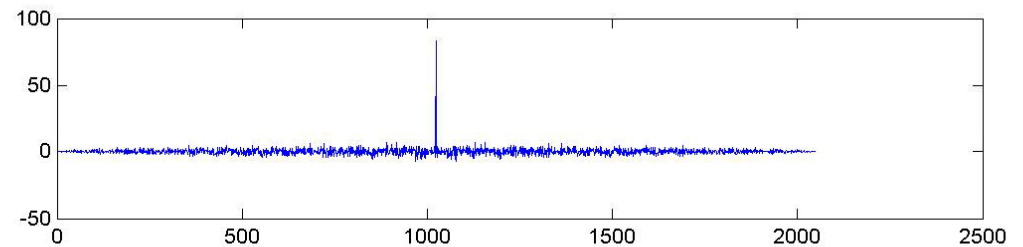


# Random Vibration

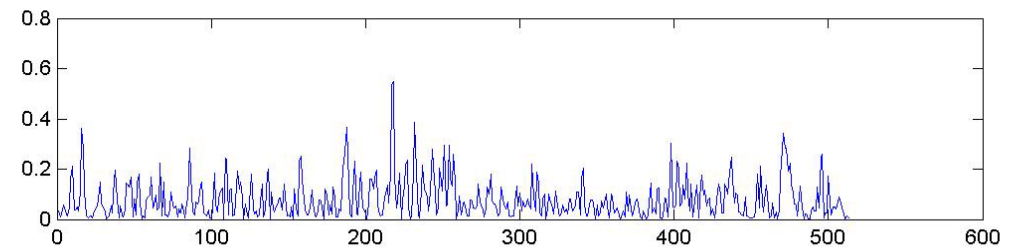
**Random Signal**



**Autocorrelation**



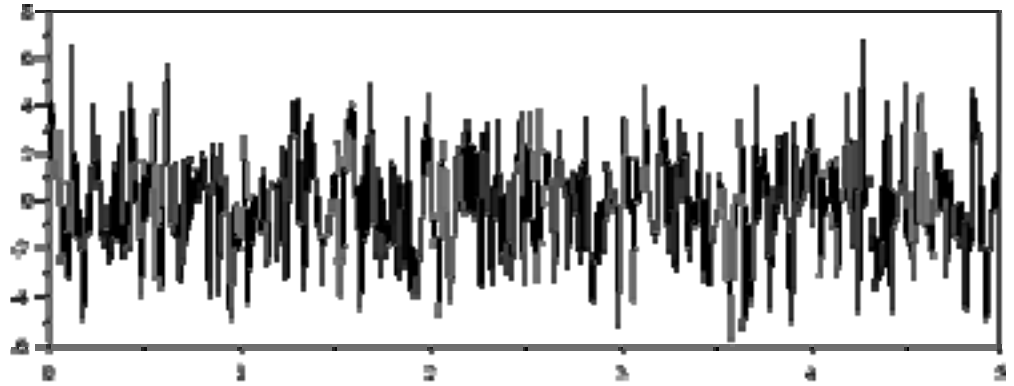
**Power Spectral Density**



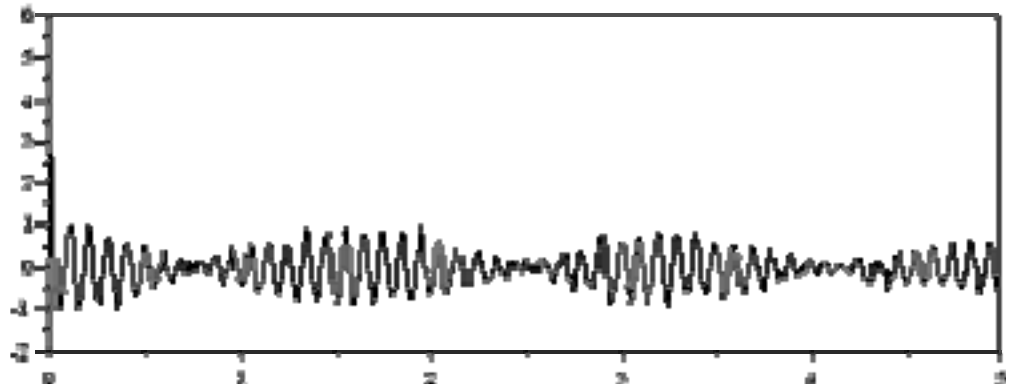


# Random Vibration

**Noisy Signal**



**Autocorrelation**





# Random Vibration

---

- The autocorrelation function is real and even:

$$\begin{aligned} R_{ff}(\tau) &= \int_{-\infty}^{+\infty} f(t) f(t + \tau) dt \\ &= \int_{-\infty}^{+\infty} f(u - \tau) f(u) du = R_{ff}(-\tau) \\ &u = t + \tau \end{aligned}$$

- The Auto/Power Spectral Density function is real and even.



# Random Vibration

---

- Cross Correlation / Spectral Densities

$$R_{xf}(\tau) = \int_{-\infty}^{+\infty} x(t) f(t + \tau) dt \quad S_{xf}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} R_{xf}(\tau) e^{-i\omega\tau} d\tau$$

- Cross Correlation functions are real but not always even.
- Cross Spectral Densities are complex functions.



# Random Vibration

## Time Domain

## Frequency Domain

$$R_{ff}(\tau) = \int_{-\infty}^{+\infty} f(t)f(t+\tau)dt \Rightarrow S_{ff}(\omega) = F^*(\omega)F(\omega)$$

$$R_{xf}(\tau) = \int_{-\infty}^{+\infty} x(t)f(t+\tau)dt \Rightarrow S_{xf}(\omega) = X^*(\omega)F(\omega)$$

$$R_{xx}(\tau) = \int_{-\infty}^{+\infty} x(t)x(t+\tau)dt \Rightarrow S_{xx}(\omega) = X^*(\omega)X(\omega)$$



# Random Vibration

---

- To derive FRF from random vibration signals:

$$H(\omega) = \frac{X(\omega)}{F(\omega)}$$

$$H_1(\omega) = \frac{X^*(\omega)X(\omega)}{X^*(\omega)F(\omega)} = \frac{S_{xx}(\omega)}{S_{xf}(\omega)}$$

$$H_2(\omega) = \frac{F^*(\omega)X(\omega)}{F^*(\omega)F(\omega)} = \frac{S_{fx}(\omega)}{S_{ff}(\omega)}$$



# Complete/ Incomplete Models

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- It is not possible to measure the response at all DOF or all modes of structure (N by N)
- Different incomplete models:
  - Reduced size (from N to n) by deleting some DOFs
  - Number of modes are a reduced as well (from N to m, usually  $m < n$ )



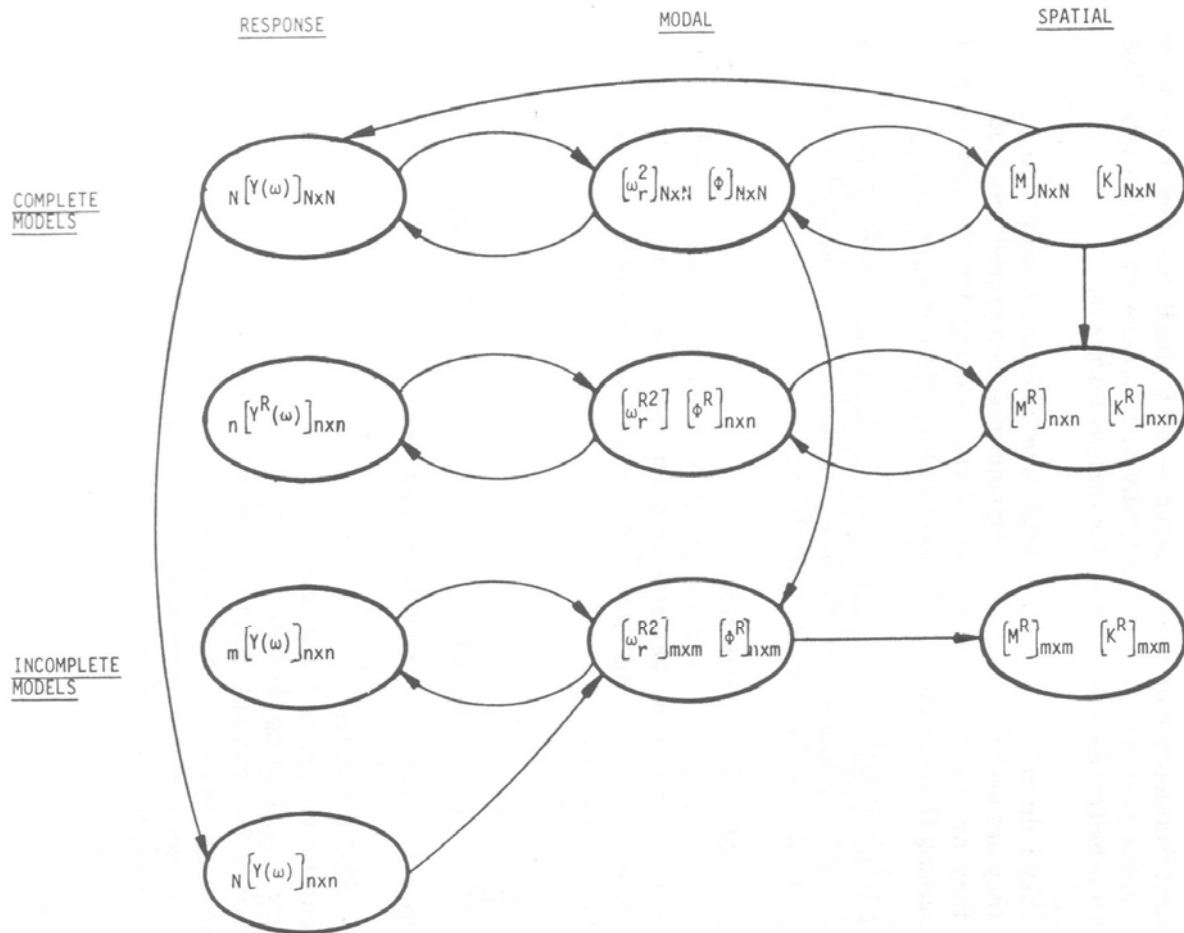


# Incomplete Response Models

$$\alpha_{jk}(\omega) = \sum_{r=1}^{m < N} \frac{{}_r A_{jk}}{\omega_r^2 - \omega^2 + i\eta_r \omega_r^2}$$
$$\Rightarrow \begin{cases} [\omega_r^2]_{m \times m} \\ [\Phi]_{n \times m} \end{cases}$$



# Incomplete Response Models





# Modal Testing

(Lecture 9)

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# Theoretical Basis

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- Sensitivity of Models
  - Modal Sensitivity
    - SDOF eigen sensitivity
    - MDOF system natural frequency sensitivity
    - MDOF system mode shape sensitivity
  - FRF Sensitivity
    - SDOF FRF sensitivity
    - MDOF FRF sensitivity



# Sensitivity of Models

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- The sensitivity analysis are required:
  - to help locate errors in models in updating
  - to guide design optimization procedures
  - they are used in the course of curve fitting
- A short summery on deducing sensitivities from experimental and analytical models is given.



# Modal Sensitivities (SDOF)

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$$\omega_0 = \sqrt{\frac{k}{m}}$$

$$\frac{\partial \omega_0}{\partial m} = -\frac{1}{2} \sqrt{\frac{k}{m^3}} = -\frac{1}{2} \frac{\omega_0}{m},$$

$$\frac{\partial \omega_0}{\partial k} = \frac{1}{2\sqrt{mk}} = \frac{1}{2} \frac{\omega_0}{k}.$$



# Modal Sensitivities (MDOF)

---

$$([K] - \omega_r^2 [M])\{\phi_r\} = \{0\},$$

$$\frac{\partial}{\partial p} ([K] - \omega_r^2 [M])\{\phi_r\} = \{0\},$$

$$([K] - \omega_r^2 [M]) \frac{\partial \{\phi_r\}}{\partial p} + \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\},$$



# Eigenvalue Sensitivity (MDOF)

Multiply by  $\{\phi_r\}^T$

$$\{\phi_r\}^T \left( [K] - \omega_r^2 [M] \right) \frac{\partial \{\phi_r\}}{\partial p} +$$

$$\{\phi_r\}^T \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\},$$

$$\text{results} \Rightarrow \frac{\partial \omega_r^2}{\partial p} = \frac{\{\phi_r\}^T \left( \frac{\partial [K]}{\partial p} - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\}}{\{\phi_r\}^T [M] \{\phi_r\}}$$





# Eigenvector Sensitivity (MDOF)

*Starting from :*

$$([K] - \omega_r^2 [M]) \frac{\partial \{\phi_r\}}{\partial p} + \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\},$$

*and taking* 
$$\frac{\partial \{\phi_r\}}{\partial p} = \sum_{\substack{j=1 \\ j \neq r}}^N \gamma_j \{\phi_j\}$$

$$\Rightarrow ([K] - \omega_r^2 [M]) \sum_{\substack{j=1 \\ j \neq r}}^N \gamma_{rj} \{\phi_j\} + \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\}$$



# Eigenvector Sensitivity (MDOF)

$$([K] - \omega_r^2 [M]) \sum_{\substack{j=1 \\ j \neq r}}^N \gamma_{rj} \{\phi_j\} + \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\}$$

$$\Rightarrow \{\phi_s\}^T ([K] - \omega_r^2 [M]) \sum_{\substack{j=1 \\ j \neq r}}^N \gamma_{rj} \{\phi_j\} + \{\phi_s\}^T \left( \frac{\partial [K]}{\partial p} - \frac{\partial \omega_r^2}{\partial p} [M] - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\}$$

$$\Rightarrow (\omega_s^2 - \omega_r^2) \gamma_{rs} + \{\phi_s\}^T \left( \frac{\partial [K]}{\partial p} - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\} = \{0\}$$



# Eigenvector Sensitivity (MDOF)

$$\Rightarrow (\omega_s^2 - \omega_r^2) \gamma_{rs} + \{\phi_s\}^T \left( \frac{\partial [K]}{\partial p} - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\}$$

$$\Rightarrow \gamma_{rs} = \frac{\{\phi_s\}^T \left( \frac{\partial [K]}{\partial p} - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\}}{(\omega_r^2 - \omega_s^2)}$$

$$\Rightarrow \frac{\partial \{\phi_r\}}{\partial p} = \sum_{\substack{s=1 \\ s \neq r}}^N \frac{\{\phi_s\}^T \left( \frac{\partial [K]}{\partial p} - \omega_r^2 \frac{\partial [M]}{\partial p} \right) \{\phi_r\}}{(\omega_r^2 - \omega_s^2)} \{\phi_s\}$$



# Updating, Redesign, Reanalysis

$$\begin{Bmatrix} \Delta\omega_1^2 \\ \Delta\omega_2^2 \\ \vdots \\ \{\Delta\phi_1\} \\ \{\Delta\phi_2\} \\ \vdots \end{Bmatrix} = \begin{bmatrix} \frac{\partial\omega_1^2}{\partial p_1} & \frac{\partial\omega_1^2}{\partial p_2} & \frac{\partial\omega_1^2}{\partial p_3} & \dots \\ \frac{\partial\omega_2^2}{\partial p_1} & \frac{\partial\omega_2^2}{\partial p_2} & \frac{\partial\omega_2^2}{\partial p_3} & \dots \\ \vdots & \vdots & \vdots & \dots \\ \frac{\partial\{\Delta\phi_1\}}{\partial p_1} & \frac{\partial\{\Delta\phi_1\}}{\partial p_2} & \frac{\partial\{\Delta\phi_1\}}{\partial p_3} & \dots \\ \frac{\partial\{\Delta\phi_2\}}{\partial p_1} & \frac{\partial\{\Delta\phi_2\}}{\partial p_2} & \frac{\partial\{\Delta\phi_2\}}{\partial p_3} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \begin{Bmatrix} \Delta p_1 \\ \Delta p_2 \\ \Delta p_3 \\ \vdots \end{Bmatrix}$$



# Updating, Redesign, Reanalysis

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- The change in parameters must be very small for accurate analysis
- When the change in parameters is not small:
  - Higher order sensitivity analysis
  - Iterative linear sensitivity analysis



# FRF Sensitivities (SDOF)

$$\alpha(\omega) = \frac{1}{k + i\omega c - \omega^2 m}$$

$$\frac{\partial \alpha(\omega)}{\partial k} = \frac{-1}{(k + i\omega c - \omega^2 m)^2}$$

$$\frac{\partial \alpha(\omega)}{\partial c} = \frac{-i\omega}{(k + i\omega c - \omega^2 m)^2}$$

$$\frac{\partial \alpha(\omega)}{\partial m} = \frac{\omega^2}{(k + i\omega c - \omega^2 m)^2}$$



# FRF Sensitivities (MDOF)

$$[Z(\omega)] = [K] + i\omega[C] - \omega^2[M],$$

$$\Rightarrow ([A] + [B])^{-1} = [A]^{-1} - ([A] + [B])^{-1}[B][A]^{-1}$$

$$\text{take } [A] \Rightarrow [Z(\omega)]_A, \quad [A + B] \Rightarrow [Z(\omega)]_x$$

$$\text{then } \Rightarrow [Z(\omega)]_x^{-1} = [Z(\omega)]_A^{-1} - [Z(\omega)]_x^{-1}([Z(\omega)]_x - [Z(\omega)]_A)^{-1}[Z(\omega)]_A^{-1}$$

$$[\alpha(\omega)]_x - [\alpha(\omega)]_A = -[\alpha(\omega)]_x[\Delta Z(\omega)][\alpha(\omega)]_A$$



# FRF Sensitivities (MDOF)

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$$[\alpha(\omega)]_x - [\alpha(\omega)]_A = -[\alpha(\omega)]_x [\Delta Z(\omega)] [\alpha(\omega)]_A,$$

$$\{\alpha_x(\omega) - \alpha_A(\omega)\}_j^T = \{\alpha_x(\omega)\}_j^T [\Delta Z(\omega)] [\alpha(\omega)]_A$$





# FRF Sensitivities (MDOF)

Starting with the analytical receptance matrix  $[\alpha(\omega)]_A$ , denoted as  $[\alpha_A]$

$$[\alpha_A] = [\alpha_A]. \quad (1)$$

Adding and subtracting the experimental receptance matrix  $[\alpha_X]$  to the right hand side of (1) gives:

$$[\alpha_A] = [\alpha_X] + [\alpha_A] - [\alpha_X]. \quad (2)$$

Multiplying  $[\alpha_A]$  of the right hand side by  $[\mathbf{I}] = [\alpha_X]^{-1}[\alpha_X]$

$$[\alpha_A] = [\alpha_X] + [\alpha_A][\alpha_X]^{-1}[\alpha_X] - [\alpha_X] \quad (3)$$

and factorising by  $[\alpha_X]$  yields:

$$[\alpha_A] = [\alpha_X] + ([\alpha_A][\alpha_X]^{-1} - [\mathbf{I}]][\alpha_X]. \quad (4)$$

Replacing  $[\mathbf{I}]$  by  $[\alpha_A][\alpha_A]^{-1}$

$$[\alpha_A] = [\alpha_X] + ([\alpha_A][\alpha_X]^{-1} - [\alpha_A][\alpha_A]^{-1})[\alpha_X] \quad (5)$$

and factorising by  $[\alpha_A]$  gives:

$$[\alpha_A] = [\alpha_X] + [\alpha_A]([\alpha_X]^{-1} - [\alpha_A]^{-1})[\alpha_X]. \quad (6)$$

Or, in a more familiar form,

$$[\alpha_A] - [\alpha_X] = [\alpha_A][\Delta Z][\alpha_X] \quad (7)$$

where

$$[\Delta Z] = [Z_X] - [Z_A] = [\Delta K] - \omega^2[\Delta M]. \quad (8)$$



# FRF Sensitivities (MDOF)

$$\frac{\partial[\alpha(\omega)]}{\partial p} = \frac{\partial([Z(\omega)]^{-1})}{\partial p} = -[Z(\omega)]^{-1} \frac{\partial[Z(\omega)]}{\partial p} [Z(\omega)]^{-1}$$

$$\frac{\partial[\alpha(\omega)]}{\partial p} = -[\alpha(\omega)] \frac{\partial[Z(\omega)]}{\partial p} [\alpha(\omega)]$$

$$\frac{\partial[\alpha(\omega)]}{\partial p} = -[\alpha(\omega)] \left( \frac{\partial[K]}{\partial p} + i\omega \frac{\partial[C]}{\partial p} - \omega^2 \frac{\partial[M]}{\partial p} \right) [\alpha(\omega)]$$